

# $\alpha$ -Recursion and Randomness

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# Randomness in the finite setting

Consider the following game:

## Game of Guessing the Random

For every  $N$ :

- I choose a sequence in  $2^N$  (deterministically)
- I randomly get another one by throwing  $N$  times a coin
- The other player have to bet on which was obtained randomly.

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Which sequence would you bet is obtained randomly ?

$$\begin{aligned} A &= 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0 \\ B &= 0, 1, 0, 0, 1, 1, 1, 0, 0, 1, 0 \end{aligned}$$

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# A second player strategy

How to compare the randomness of two sequences sequence ? A random is expected to

- Have no structure
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Suppose I moved to 182718525747285286528 Logic Street.

Hi Mom!

Please note my new address is 182718525747285286528 Logic Street.



## A second player strategy

How to compare the randomness of two sequences sequence ? A random is expected to

- Have no structure
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- ...

Suppose I moved to 100000000000000000000 Logic Street.

Hi Mom!

Please note my new address is "1" and 20 "0" Logic Street.

## Intuition

The more a **string** is random the bigger is its **shortest description** (in some **coding**).

## Definition (Kolmogorov Complexity)

$$C(\sigma) = \min(\{|\tau| : M(\tau) = \sigma\})$$

where

$$M(0^e 1 \sigma) = M_e(\sigma)$$

- 182718525747285286528 → 0<sup>e<sub>id</sub></sup>1182718525747285286528.
- 10000000000000000000 → 0<sup>e</sup>120.

Pseudorandomness is not random at all !!!

## Strategy for the second player

Between  $A$  and  $B$ , choose the sequence with higher Kolmogorov complexity !

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(if you can find it...) Conclusion:

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# Infinitary case

Now we consider reals.

## Question

How would we define a real in  $2^\omega$  obtained by tossing infinitely many coins ?

- Every reals have 0 chance to appear,
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There exists several paradigms to define what it is to be random for a sequence of bits :

## Paradigm

- 1 Impredictability,
- 2 Incompressibility of prefixes,
- 3 **No exceptionnal properties.**

We will use the third paradigm.

## Paradigm

A set  $A$  is random if it has no sufficiently simple exceptional property.

## Definition

Let  $\mathcal{C} \subseteq \mathcal{P}(2^\omega)$ , and  $X \subseteq 2^\omega$ . We define  $\mathcal{C}$ -randomness by:

$X$  is  $\mathcal{C}$ -random if  $\forall P \in \mathcal{C}$ , if  $\lambda(P) = 0$  then  $\neg P(X)$ .

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$\mathcal{C}$  countable ensures that the  $\mathcal{C}$ -randoms have measure 1.

Examples :

- the class of  $\Pi_2^0$  sets, the sets that need two quantifiers (starting with  $\forall$ ) over  $\mathbb{N}$  to be defined,
- the class of effectively Borel sets,
- the class of ITTM-semi-recursive.



- Randomness Theory is the study of these different notions, how they relate to each others, what are the properties, computational power of random reals, etc.
- Notions are stratified in complexity by logic:
  - Complexity theory studies “low” complexity sets,
    - Polynomial Hierarchy
  - Recursion theory studies “medium” complexity sets,
    - (Hyper)arithmetical Hierarchy
  - Set theory studies “high” complexity sets.
- Let's see how set theory gives us new natural  $\mathcal{C}$ .

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$\alpha$ -Recursion comes naturally from the theorem :

## Theorem

Let  $A \subseteq \omega$ . Then the following are equivalent:

- $A$  is recursively enumerable,
- $\exists \phi \Sigma_1$  such that  $n \in A \Leftrightarrow HF \models \phi(n)$ .

Where  $HF$  is the set of all hereditarily finite sets.

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## Strategy

We'll change  $HF$  by a level of the Godel Hierarchy!

## Gödel's constructible universe (1938)

Gödel's constructible at rank  $\alpha$ , written  $L_\alpha$  are defined by induction along ordinals :

- 1  $L_0 = \emptyset$ ,
- 2  $L_{\alpha+1} = \text{Def}(L_\alpha)$ ,
- 3  $L_\lambda = \bigcup_{\alpha < \lambda} L_\alpha$ .

The constructibles are the elements of  $\bigcup_\alpha L_\alpha$ .

## Definition

$$\text{Def}(M) = \left\{ E_{\phi, \bar{p}}^M : \phi \text{ is a formula and } \bar{p} \in M \right\}$$

where

$$E_{\phi, \bar{p}}^M = \{x \in M : \phi(x, \bar{p}) \text{ is true in } M\}$$

Omega\_squared12.png

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Omega\_squared11.png

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`Omega_squared10.png`

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Omega\_squared9.png

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`Omega_squared0.png`

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$L = \bigcup_{\alpha \in Ord} L_\alpha$  is a model of ZFC

These are some particular layers :

- 1  $L_{n+1} = \mathcal{P}(L_n)$  for  $n$  an integer ;
- 2  $L_\omega = HF$ , the hereditarily finite sets ;
- 3  $L_{\omega_1^{CK}} = HYP$ , the sets with hyperarithmetic codes ;
- 4  $L_\lambda = WRT$ , the sets with writable codes.

We find again  $HF$  !

$HF = L_\omega$ . Recall our theorem

### Theorem (Characterization of recursive enumerability)

Let  $A \subseteq \omega$ . Then we have :

$A$  is r.e.  $\iff \exists \phi \Sigma_1$  such that  $n \in A \iff L_\omega \models \phi(n)$

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The basic definition of  $\alpha$ -recursion :

### Definition

Let  $\alpha$  be an ordinal and  $A \subseteq L_\alpha$ . We say that :

- 1  $A$  is  $\alpha$ -finite if  $A \in L_\alpha$  ;
- 2  $A$  is  $\alpha$ -recursive if  $A$  is  $\Delta_1$ -comprehensible in  $L_\alpha$  ;
- 3  $A$  is  $\alpha$ -recursively enumerable if  $A$  is  $\Sigma_1$ -comprehensible in  $L_\alpha$ .

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- Intuition: In  $\alpha$ -recursion, we see a computation as a search into all the  $\alpha$ -finite sets.
- Some  $\alpha$  will reveal more interesting than others,
- $A$  is a set of  $\alpha$ -finite elements, not only integers.

# Premature birth of a conclusion

- We can define new classes  $\mathcal{C}$  of “sufficiently simple” properties by mimicking the classical case,
- for example,
  - from  $\Pi_2^0$  to  $\alpha$ -recursive  $\mathbf{\Pi}_2^0$ ,
  - from ML tests to  $\alpha$ -ML tests...
- What happens to the relation between higher counterparts of classical notions ?

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  - from ML tests to  $\alpha$ -ML tests...
- What happens to the relation between higher counterparts of classical notions ?

Thank you for your attention!

## Intuition

We see a computation as a search into all the  $\alpha$ -finite sets.

It is not yet finished ! Because :

## Remark

Some  $\alpha$  will reveal more interesting than others...

Which  $\alpha$  ? Or, a better question would be which  $L_\alpha$  ?

## Definition

An  $\alpha$  is said admissible if the image of any  $\alpha$ -finite set over an  $\alpha$ -recursive function is  $\alpha$ -finite.

- $\omega$ ,  $\omega_1^{CK}$ ,  $\omega_1^{CKA}$ ,  $\lambda$ ,  $\omega_1$  are admissibles.  $\omega \cdot 2$  is not.

## Intuition

An ordinal  $\alpha$  is admissible if the  $\alpha$ -recursion is not too far from computability.



# What did we defined ?

## Intuition

We see a computation as a search into all the  $\alpha$ -finite sets.

- $\omega$ -recursion, is classical computability ;
- $\omega_1^{CK}$ -recursion, is higher computability ;
- $\lambda$ -recursion, is ITTM computability.

We have a general and satisfying definition of computability.

Following this principle, we define the tests in  $L_\alpha$ .

## Definition

$X$  is random over  $L_\alpha$  (or  $\alpha$ -random) if  $X$  do not belong to any null borel set **with code in  $L_\alpha$** .



- $\omega_1^{CK}$ -randomness is  $\Delta_1^1$ -randomness,
- $\lambda$ -randomness is ITTM-randomness.

Figure: A borel code

We continue the process to generalise Martin-Löf's idea :

## Definition

- A ML test is a set  $A \subseteq 2^\omega$ , with  $A = \bigcap \mathcal{U}_n$  a  $\Pi_2^0$  set with  $\lambda(\mathcal{U}_n) \leq 2^{-n}$ ,
  - an  $\alpha$ -ML test is a Martin-Löf test  $\mathcal{U} \subseteq \omega \times 2^{<\omega}$  which is  $\alpha$ -recursively enumerable,
  - $X$  is  $\alpha$ -ML random if it is in no  $\alpha$ -ML tests.
- 
- $\omega$ -ML randomness is ML random,
  - $\omega_1^{CK}$ -ML randomness is  $\Pi_1^1$ -ML randomness,
  - $\lambda$ -ML randomness is  $\text{ITTM}_{ML}$  randomness

## Question

For every  $\alpha$ , do the notions of “ $\alpha$ -random” and “ $\alpha$ -ML random” coincide ?

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For every  $\alpha$ , do the notions of “ $\alpha$ -random” and “ $\alpha$ -ML random” coincide ?

## Theorem

$\Delta_1^1$ -randomness and  $\Pi_1^1$ -ML randomness are different notions.

This answers the question in a particular case. We would like a condition on  $\alpha$  for it to be true.

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## Definition

$\alpha$  is projectible into  $\beta$  if there exists an  $\alpha$ -recursive function, one-one from  $\alpha$  to  $\beta$ .

- $\omega_1^{CK}$ ,  $\lambda$  are projectible into  $\omega$  ;
- it means the whole is being projectible into the  $\alpha$ -finite ;
- not every ordinals are projective into a smaller ordinal than themselves.

A fine structure property of the universe of set!

## Theorem

*The following are equivalent :*

- 1  $\alpha$  is projectible into  $\omega$ , and
- 2  $\alpha$ -randomness and  $\alpha$ -ML randomness are different notions.

## Corollary

*ITTM-randomness and  $ITTM_{ML}$  randomness are two different notions.*

- $\alpha$ -recursion extends computability, and includes other extensions ;
- it allows us to define new notions of randomness ;
- we have an equivalence between a property of set theory and a property of algorithmic randomness.



Thanks for your attention !