

A COMPARISON OF VARIOUS ANALYTIC CHOICE PRINCIPLES

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Abstract. We investigate computability theoretic and descriptive set theoretic contents of various kinds of analytic choice principles by performing detailed analysis of the Medvedev lattice of Σ_1^1 -closed sets. Among others, we solve an open problem on the Weihrauch degree of the parallelization of the Σ_1^1 -choice principle on the integers. Harrington's unpublished result on a jump hierarchy along a pseudo-well-ordering plays a key role in solving the problem.

§1. Introduction.

1.1. Summary. The study of the Weihrauch lattice aims to measure the computability theoretic difficulty of finding a choice function witnessing the truth of a given $\forall\exists$ -theorem (cf. [3]) as an analogue of reverse mathematics [16]. In this article, we investigate the uniform computational contents of the axiom of choice Σ_1^1 -AC and dependent choice Σ_1^1 -DC for Σ_1^1 formulas in the context of the Weihrauch lattice.

The computability-theoretic strength of these choice principles is completely independent of their proof-theoretic strength, since the meaning of an impredicative notion such as Σ_1^1 is quite unstable among models of second-order arithmetic. Nevertheless, it is still interesting to examine the uniform computational contents of Σ_1^1 -AC and Σ_1^1 -DC in the full model \mathcal{PN} : In descriptive set theory, we do not consider the complexity of points in spaces. Instead, we consider the descriptive or topological complexity of sets and functions on spaces as described below.

For a set $A \subseteq X \times Y$ define the x -th section of A as $A(x) = \{y \in Y : (x, y) \in A\}$. Moreover, we say that a set is *total* if all of its sections are nonempty. We say that a partial function $g: \subseteq X \rightarrow Y$ is a *choice function* for A if $g(x)$ is defined and $g(x) \in A(x)$ whenever $A(x)$ is nonempty. In descriptive set theory and related areas, there are a number of important results on measuring the complexity of choice functions. Let X and Y be standard Borel spaces. The Jankov-von Neumann uniformization theorem (cf. [11, Theorem 18.1]) states that if A is analytic, then there is a choice function for A which is measurable w.r.t.

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the σ -algebra generated by the analytic sets. The Luzin-Novikov uniformization theorem (cf. [11, Theorem 18.10]) states that if A is Borel each of whose section is at most countable, then there is a Borel-measurable choice function for A . Later, Arsenin and Kunugui (cf. [11, Theorem 35.46]) showed that the same holds even if each section is allowed to be σ -compact.

A set $H \subseteq Z^Y$ is *homogeneous* if H is the set of all total choice functions for some $A \subseteq Y \times Z$. A choice function for a set with homogeneous sections can be thought of as a choice of a choice function. The fact that the coanalytic sets do not have the separation property can be used to conclude that an analytic set with compact homogeneous sections does not necessarily have a Borel-measurable choice. Nevertheless, a set with homogeneous sections is sometimes easier to uniformize than a general set. For instance, a coanalytic subset of $X \times \omega^\omega$ with homogeneous sections always have a Borel-measurable choice, whereas there is no complexity bound within Δ_2^1 which has a power to uniformize a coanalytic set even if assuming that every section is a singleton.

We are interested in comparing the difficulty of finding choice functions for various analytic sets. Our main tools for comparing the degrees of difficulty are the following preorderings on analytic sets in product spaces. Let $A \subseteq X \times Y$ and $B \subseteq Z \times W$ be given.

1. We write $A \leq_1 B$ if there exist continuous functions $h: X \rightarrow W$ and $k: Z \rightarrow Y$ such that $k \circ g \circ h$ is a choice for A whenever g is a choice for B .
2. We write $A \leq_2 B$ if there exist continuous functions $h: X \rightarrow W$ and $k: Z \rightarrow Y$ such that $x \mapsto k(x, g \circ h(x))$ is a choice for A whenever g is a choice for B .

It is clear that $A \leq_1 B$ always implies $A \leq_2 B$, but the converse does not hold in general. Note that \leq_0 usually refers the Wadge reducibility, and the two preorderings \leq_1 and \leq_2 are topological versions of two reducibility notions \leq_{sW} and \leq_W introduced in Section 1.2.

FACT 1.1 (Kihara-Marcone-Pauly [12]). For any total analytic set $A \subseteq \omega^\omega \times 2^\omega$, there exists a total analytic set $H \subseteq \omega^\omega \times 2^\omega$ with homogeneous sections such that $A \leq_1 H$.

However, there exists a total analytic set $A \subseteq \omega^\omega \times \omega^\omega$ with homogeneous sections such that $A \not\leq_2 B$ for any total analytic set $B \subseteq \omega^\omega \times \omega^\omega$ with compact sections.

QUESTION 1.2 (Brattka et al. [2] and Kihara et al. [12]). For any total analytic set $A \subseteq \omega^\omega \times \omega^\omega$, does there exist a total analytic set $H \subseteq \omega^\omega \times \omega^\omega$ with homogeneous sections such that $A \leq_2 H$?

In this article, we compare the complexity of choice principles for various kinds of analytic sets, that is, analytic sets with compact sections, σ -compact sections, homogeneous sections, and so on. In particular, we negatively solve Question 1.2.

To solve this question, we will employ the notion of a pseudo-hierarchy: A remarkable discovery by Harrison is that some *non*-well-ordering \prec admits a transfinite recursion based on an arithmetical formula. Furthermore, a basic observation is that, without deciding if a given countable linear ordering \prec is

well-ordered or not, one can either proceed an arithmetical transfinite recursion along \prec or construct an infinite \prec -decreasing sequence. Indeed, we will see that the degree of difficulty of such a construction is quite close to that of uniformizing analytic sets with compact sections, which is drastically easier than deciding well-orderedness of a countable linear ordering.

1.2. Preliminaries. In this article, we investigate several variants of Σ_1^1 -choice principles in the context of the Weihrauch lattice. The notion of Weihrauch degree is used as a tool to classify certain $\forall\exists$ -statements by identifying $\forall\exists$ -statements with a partial multivalued function. Informally speaking, a (possibly false) statement $S \equiv \forall x \in X [Q(x) \rightarrow \exists y P(x, y)]$ is transformed into a partial multivalued function $f: \subseteq X \rightrightarrows Y$ such that $\text{dom}(f) = \{x : Q(x)\}$ and $f(x) = \{y : P(x, y)\}$. Then, measuring the degree of difficulty of witnessing the truth of S is identified with that of finding a choice function for f . Here, we consider choice problems for partial multivalued functions rather than relations in order to distinguish the hardest instance $f(x) = \emptyset$ and the easiest instance $x \in X \setminus \text{dom}(f)$.

In this article, we only consider subspaces of $\mathbb{N}^{\mathbb{N}}$, so we can use the following simpler version of the Weihrauch reducibility. For partial multivalued functions f, g , we say that f is *Weihrauch reducible to g* (written $f \leq_W g$) if there are partial computable functions h, k such that $x \mapsto k(x, G \circ h(x))$ is a choice for f whenever G is a choice for g . In other words,

$$(\forall x \in \text{dom}(f))(\forall y) [y \in g(h(x)) \implies k(x, y) \in f(x)].$$

In recent years, a lot of researchers has employed this notion to measure uniform computational strength of $\forall\exists$ -theorems in analysis as an analogue of reverse mathematics. Roughly speaking, the study of the Weihrauch lattice can be thought of as “reverse mathematics plus uniformity minus proof theory.” But this disregard for proof theory provides us a new insight into the classification of impredicative principles as we see in this article. For more details on the Weihrauch lattice, we refer the reader to a recent survey article [3].

We use several operations on the Weihrauch lattice. Given a partial multivalued function f , the *parallelization of f* is defined as follows:

$$\widehat{f}((x_n)_{n \in \omega}) = \prod_{n \in \omega} f(x_n).$$

If $f \equiv_W \widehat{f}$, then we say that f is *parallelizable*. Given partial multivalued functions f and g , the *compositional product of f and g* (written $g \star f$) is a function which realizes the greatest Weihrauch degree among $g_0 \circ f_0$ for $f_0 \leq_W f$ and $g_0 \leq_W g$. It is known that such an operation \star exists. For basic properties of parallelization and compositional product, see also [4].

§2. Equivalence results in the Weihrauch lattice.

2.1. Σ_1^1 -Choice Principles. One of the main notions in this article is the Σ_1^1 -choice principle. In the context of the Weihrauch degrees, the Σ_1^1 -choice principle on a space X is formulated as the partial multivalued function which, given a code of a nonempty analytic set A , chooses an element of A .

We fix a coding system of all analytic sets in a Polish space X , and let S_p be the analytic subset of X coded by $p \in \omega^\omega$. For instance, let S_p be the projection of the p -th closed subset of $X \times \mathbb{N}^{\mathbb{N}}$ (i.e., the complement of the union of $p(n)$ -th basic open balls) into the first coordinate (cf. [12]).

The Σ_1^1 -choice principle on X , $\Sigma_1^1\text{-C}_X$, is the partial multivalued function which, given a code of a nonempty analytic subset of X , chooses one element from X . Formally speaking, it is defined as the following partial multivalued function:

$$\begin{aligned} \text{dom}(\Sigma_1^1\text{-C}_X) &= \{p \in \omega^\omega : S_p \neq \emptyset\}, \\ \Sigma_1^1\text{-C}_X(p) &= S_p. \end{aligned}$$

For basics on the Σ_1^1 -choice principle on X , see also [12]. In a similar manner, one can also consider the Γ -choice principle on X , $\Gamma\text{-C}_X$, for any represented space X and any collection Γ of subsets of X endowed with a representation $S_* : \subseteq \omega^\omega \rightarrow \Gamma$. We first describe how this choice principle is related to several very weak variants of the axiom of choice.

In logic, the *axiom of Γ choice*, $\Gamma\text{-AC}$, is known to be the following statement:

$$\forall a \exists b \varphi(a, b) \longrightarrow \exists f \forall a \varphi(a, f(a)),$$

where φ is a Γ formula. If we require $a \in X$ and $b \in Y$, the above statement is written as $\Gamma\text{-AC}_{X \rightarrow Y}$. We examine the complexity of a procedure that, given a Σ_1^1 formula φ (with a parameter) satisfying the premise of $\Sigma_1^1\text{-AC}_{X \rightarrow Y}$, returns a choice for φ . In other words, we interpret $\Sigma_1^1\text{-AC}_{X \rightarrow Y}$ as the following partial multivalued function:

$$\begin{aligned} \text{dom}([\Sigma_1^1\text{-AC}_{X \rightarrow Y}]_{\text{mv}}) &= \{p \in \omega^\omega : \forall a \exists b \langle a, b \rangle \in S_p\}, \\ [\Sigma_1^1\text{-AC}_{X \rightarrow Y}]_{\text{mv}}(p) &= \{f \in Y^X : (\forall a) \langle a, f(a) \rangle \in S_p\}. \end{aligned}$$

Unfortunately, this interpretation is different from the usual (relative) realizability interpretation. However, the above interpretation of $\Sigma_1^1\text{-AC}_{X \rightarrow \mathbb{N}}$ is related to a descriptive-set-theoretic notion known as the number uniformization property (or equivalently, the generalized reduction property) for Σ_1^1 (cf. [11, Definition 22.14]). In the context of Weihrauch degrees, the above interpretation is obviously related to the parallelization of the Σ_1^1 -choice principle.

OBSERVATION 2.1. If X is an initial segment of \mathbb{N} , then we have $\widehat{\Sigma_1^1\text{-C}_X} \equiv_{\text{W}} [\Sigma_1^1\text{-AC}_{\mathbb{N} \rightarrow X}]_{\text{mv}}$. In particular, $\text{C}_{\mathbb{N}^{\mathbb{N}}} \equiv_{\text{W}} [\Sigma_1^1\text{-AC}_{\mathbb{N} \rightarrow \mathbb{N}^{\mathbb{N}}}]_{\text{mv}}$. \dashv

In logic, the *axiom of Σ_1^1 -dependent choice on X* is the following statement:

$$\forall a \exists b \varphi(a, b) \longrightarrow \forall a \exists f [f(0) = a \ \& \ \forall n \varphi(f(n), f(n+1))],$$

where φ is a Σ_1^1 -formula, and a and b range over X . Note that the dependent choice is equivalent to the statement saying that if T is a definable pruned tree of height ω , then there is an infinite path through T . However, this translation may change the logical complexity of a formula φ and a tree T . For this reason, we will use the symbol $\Sigma_1^1\text{-DC}_X$ to denote the scheme of the Σ_1^1 -dependent choice on any analytic set $Y \subseteq X$ instead of considering a single space X . Then we examine the complexity of a procedure that, given a Σ_1^1 set $Y \subseteq X$ and a Σ_1^1

formula φ (with a parameter) satisfying the premise of the Σ_1^1 -dependent choice on Y and an element $a \in X$, returns f satisfying the conclusion:

$$\begin{aligned} \text{dom}([\Sigma_1^1\text{-DC}_X]_{\text{mv}}) &= \{\langle p, q, a_0 \rangle \in (\omega^\omega)^2 \times S_q : \forall a \in S_q \exists b \in S_q \langle a, b \rangle \in S_p\}, \\ [\Sigma_1^1\text{-DC}_X]_{\text{mv}}(p, q, a_0) &= \{f \in S_q^{\mathbb{N}} : f(0) = a_0 \ \& \ \forall n \langle f(n), f(n+1) \rangle \in S_p\}. \end{aligned}$$

Note that this formulation is different from the Σ_1^1 -dependent choice on X in the context of second order arithmetic. Indeed, our formulation falls between the Σ_1^1 -dependent choice and the *strong Σ_1^1 -dependent choice* (cf. Simpson [16]). Now, it is easy to see the following:

PROPOSITION 2.2. $\text{C}_{\mathbb{N}^{\mathbb{N}}} \equiv_{\text{W}} [\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}]_{\text{mv}} \equiv_{\text{W}} [\Sigma_1^1\text{-DC}_{\mathbb{N}}]_{\text{mv}}$.

PROOF. $[\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}]_{\text{mv}} \leq_{\text{W}} \Sigma_1^1\text{-C}_{\mathbb{N}^{\mathbb{N}}}$: The set of all solutions to an instance of $[\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}]_{\text{mv}}$ is obviously Σ_1^1 relative to the given parameter, and one can easily find its Σ_1^1 -index.

$\text{C}_{\mathbb{N}^{\mathbb{N}}} \leq_{\text{W}} [\Sigma_1^1\text{-DC}_{\mathbb{N}}]_{\text{mv}}$: Let T be a pruned Σ_1^1 tree, and put $S_q = [T]$. Then, let $\varphi_T(\sigma, \tau)$ be the formula expressing that τ is an immediate successor of σ . Moreover, φ_T satisfies the premise of $\Sigma_1^1\text{-DC}_{\mathbb{N}}$ since T is pruned. Let f be a solution to the instance φ of $[\Sigma_1^1\text{-DC}_{\mathbb{N}}]_{\text{mv}}$ where $f(0)$ is the empty string. Since T is pruned, f must be a path through T .

We conclude by remarking that $\Sigma_1^1\text{-C}_{\mathbb{N}^{\mathbb{N}}} \leq_{\text{W}} \text{C}_{\mathbb{N}^{\mathbb{N}}}$: Given $p \in \omega^\omega$, one can find an element of S_p by using $\text{C}_{\mathbb{N}^{\mathbb{N}}}$ to find an element x of the p -th closed set, and then taking the projection of x . Finally, obviously $[\text{DC}_{\mathbb{N}}]_{\text{mv}} \leq_{\text{W}} [\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}]_{\text{mv}}$. \dashv

In the proper context, Question 1.2 was formulated as the problem asking whether $\widehat{\Sigma_1^1\text{-C}_{\mathbb{N}}} <_{\text{W}} \text{C}_{\mathbb{N}^{\mathbb{N}}}$. By the above observations, this is the same as asking the following.

QUESTION 2.3 (Restatement of Question 1.2). Do we have $[\Sigma_1^1\text{-AC}_{\mathbb{N} \rightarrow \mathbb{N}}]_{\text{mv}} <_{\text{W}} [\Sigma_1^1\text{-DC}_{\mathbb{N}}]_{\text{mv}}$? Or equivalently, $[\Sigma_1^1\text{-AC}_{\mathbb{N} \rightarrow \mathbb{N}}]_{\text{mv}} <_{\text{W}} [\Sigma_1^1\text{-AC}_{\mathbb{N} \rightarrow \mathbb{N}^{\mathbb{N}}}]_{\text{mv}}$?

2.2. Compact Choice Principles. According to the Arsenin-Kunugui uniformization theorem (cf. [11, Theorem 18.10]), the choice principle for σ -compact Δ_1^1 sets is much simpler than that for arbitrary Δ_1^1 sets. We are interested in that an analogous statement holds for Σ_1^1 -choice, while we know that even a compact Σ_1^1 -choice does not admit a Borel uniformization.

We now consider subprinciples of the Σ_1^1 choice principle by restricting its domain. Recall that S_p is the analytic set in X coded by $p \in \omega^\omega$. Let \mathcal{R} be a collection of subsets of X . Define the Σ_1^1 -choice principle $\Sigma_1^1\text{-C}_X \upharpoonright_{\mathcal{R}}$ restricted to sets in \mathcal{R} as follows:

$$\begin{aligned} \Sigma_1^1\text{-C}_X \upharpoonright_{\mathcal{R}} &: \subseteq \omega^\omega \rightrightarrows X, \\ \text{dom}(\Sigma_1^1\text{-C}_X \upharpoonright_{\mathcal{R}}) &= \{p \in \omega^\omega : S_p \neq \emptyset \text{ and } S_p \in \mathcal{R}\}, \\ \Sigma_1^1\text{-C}_X \upharpoonright_{\mathcal{R}}(p) &= S_p \end{aligned}$$

First, we consider the Σ_1^1 choice principle restricted to compact sets, that is, we define the *compact Σ_1^1 -choice* $\Sigma_1^1\text{-KC}_X$ as follows:

$$\Sigma_1^1\text{-KC}_X = \Sigma_1^1\text{-C}_X \upharpoonright_{\{A \subseteq X : A \text{ is compact}\}}.$$

In other words, the Σ_1^1 -compact choice principle $\Sigma_1^1\text{-KC}_X$ is the multivalued function which, given a code of a nonempty compact Σ_1^1 set, chooses one element from the set. This choice principle can be thought of as an interpretation of parallelized two-valued choice. Before confirming the equivalence, first note that in [12] the Σ_1^1 parallelized two-valued choice is shown to be equivalent to the following principles:

- The principle $\Sigma_1^1\text{-WKL}$, the *weak König's lemma for Σ_1^1 -trees*, is the partial multivalued function which, given a binary tree $T \subseteq 2^{<\omega}$ which is Σ_1^1 relative to a given parameter, chooses an infinite path through T .
- The principle $\Pi_1^1\text{-Sep}$, the *problem of separating a disjoint pair of Π_1^1 sets*, is the partial multivalued function which, given a pair of disjoint sets $A, B \subseteq \omega$ which are Π_1^1 relative to a given parameter, chooses a set $C \subseteq \omega$ separating A from B , that is, $A \subseteq C$ and $B \cap C = \emptyset$.

FACT 2.4 (Kihara-Marccone-Pauly [12]). $\widehat{\Sigma_1^1\text{-C}_2} \equiv_{\text{W}} \Pi_1^1\text{-Sep} \equiv_{\text{W}} \Sigma_1^1\text{-WKL}$.

We now show that these are equivalent to the Σ_1^1 -compact choice.

PROPOSITION 2.5. $\widehat{\Sigma_1^1\text{-C}_2} \equiv_{\text{W}} \Sigma_1^1\text{-KC}_{\mathbb{N}^{\mathbb{N}}} \equiv_{\text{W}} [\Sigma_1^1\text{-AC}_{\mathbb{N} \rightarrow 2}]_{\text{mv}}$.

PROOF. By Observation 2.1, we have $\widehat{\Sigma_1^1\text{-C}_2} \equiv_{\text{W}} [\Sigma_1^1\text{-AC}_{\mathbb{N} \rightarrow 2}]_{\text{mv}}$. To show that these are equivalent to the Σ_1^1 compact choice principle, we claim that a set $A \subseteq \omega^\omega$ is Σ_1^1 and compact if and only if it is computably isomorphic to a Σ_1^1 -closed set $B \subseteq 2^\omega$. The reverse implication is clear, as compactness is preserved via continuous functions. So suppose that A is Σ_1^1 and compact. First, it is clearly closed, so let $T_b \subseteq \omega^{<\omega}$ be a Σ_1^1 tree such that $A = [T_b]$ and T_b has no dead-end. For every σ , there exists at most finitely many $i \in \mathbb{N}$ such that $\sigma \hat{\ } i \in T_b$, and this fact is observed at some stage α_σ below ω_1^{CK} . Now apply Σ_1^1 -boundedness to the total function $\sigma \mapsto \alpha_\sigma$ to get a stage α below ω_1^{CK} such that already, $T_b[\alpha]$ is a finitely branching tree. Then, we can use the usual injection of a finitely branching tree space into Cantor space. By uniformly relativizing this argument, we now obtain $\Sigma_1^1\text{-WKL} \equiv_{\text{W}} \widehat{\Sigma_1^1\text{-KC}_{\mathbb{N}^{\mathbb{N}}}}$, which can conclude by invoking Fact 2.4 that assert $\Sigma_1^1\text{-WKL} \equiv_{\text{W}} \widehat{\Sigma_1^1\text{-C}_2}$. \dashv

Next, we show that the compact Σ_1^1 -choice principle is also Weihrauch equivalent to the following principles:

- The principle $\Pi_1^1\text{-Tot}_2$, the *totalization problem for partial Π_1^1 two-valued functions*, is the partial multivalued function which, given a partial function $\varphi: \subseteq \omega \rightarrow 2$ which is Π_1^1 relative to a given parameter, chooses a total extension $f: \omega \rightarrow 2$ of φ .
- The principle $\Pi_1^1\text{-DNC}_2$, the *problem of finding a two-valued diagonally non- Π_1^1 function*, is the partial multivalued function which, given a sequence of partial functions $(\varphi_e)_{e \in \omega}$ which are Π_1^1 relative to a given parameter, chooses a total function $f: \omega \rightarrow 2$ diagonalizing the sequence, that is, $f(e) \neq \varphi_e(e)$ whenever $\varphi_e(e)$ is defined.

The latter notion has also been studied by Kihara-Marccone-Pauly [12].

PROPOSITION 2.6. $\widehat{\Sigma_1^1\text{-C}_2} \equiv_{\text{W}} \Pi_1^1\text{-Tot}_2 \equiv_{\text{W}} \Pi_1^1\text{-DNC}_2$.

PROOF. $\Pi_1^1\text{-Tot}_2 \leq_W \Pi_1^1\text{-DNC}_2$: Given a partial function $\varphi: \subseteq \omega \rightarrow 2$, define $\psi_e(e) = 1 - \varphi(e)$. If g diagonalizes $(\psi_e)_{e \in \omega}$, then $g(e) = 1 - \psi_e(e) = \varphi(e)$ whenever $\varphi(e)$ is defined. Therefore, g is a totalization of φ .

$\Pi_1^1\text{-DNC}_2 \leq_W \widehat{\Sigma_1^1\text{-C}_2}$: Define $S_e = \{a : \varphi_e(e) \downarrow < 2 \rightarrow a \neq \varphi_e(e)\}$ is uniformly Σ_1^1 . Moreover, the choice for $(S_n^e)_{n \in \omega}$ clearly diagonalizes $(\varphi_e)_{e \in \omega}$.

$\widehat{\Sigma_1^1\text{-C}_2} \leq_W \Pi_1^1\text{-Tot}_2$: Given a Σ_1^1 set $S_n \subseteq 2$, wait for S_n becomes a singleton, say $S_n = \{s_n\}$. It is easy to find an index of a partial Π_1^1 function f such that $f(n) = s_n$ whenever $S_n = \{s_n\}$. Then, any total extension of f is a choice for $(S_n)_{n \in \omega}$. \dashv

A set is σ -compact if it is a countable union of compact sets. By Saint Raymond's theorem (cf. [11, Theorem 35.46]), any Borel set with σ -compact sections can be written as a countable union of Borel sets with compact sections. In particular, a Borel code for a σ -compact set S can be transformed into a uniform sequence of Borel codes of compact sets whose union is S . However, there is no analogous result for analytic sets (cf. Steel [18]). Therefore, we do not introduce the σ -compact Σ_1^1 -choice as

$$\Sigma_1^1\text{-C}_X \upharpoonright \{A \subseteq X : A \text{ is } \sigma\text{-compact}\}.$$

Instead, we directly code an analytic σ -compact set as a sequence of analytic codes of compact sets. In other words, the σ -compact Σ_1^1 -choice principle, $\Gamma\text{-K}_\sigma\text{C}_X$, is the partial multivalued function which, given a sequence $(S_n)_{n \in \mathbb{N}}$ of compact Σ_1^1 (relative to a parameter) sets at least one of which is nonempty, chooses an element from $\bigcup_{n \in \mathbb{N}} S_n$. Equivalently (modulo the Weihrauch equivalence), one can formalize $\Sigma_1^1\text{-K}_\sigma\text{C}_{\mathbb{N}^{\mathbb{N}}}$ as the compositional product $\Sigma_1^1\text{-KC}_{\mathbb{N}^{\mathbb{N}}} \star \Sigma_1^1\text{-C}_{\mathbb{N}}$.

2.3. Restricted Choice Principles. Next, we consider several variations of the axiom of choice:

1. The axiom of *unique choice*: $\forall a \exists! b \varphi(a, b) \rightarrow \exists f \forall a \varphi(a, f(a))$.
2. The axiom of *finite choice*: For any a , if $\{b : \varphi(a, b)\}$ is nonempty and finite, then there is a choice function for φ , that is, $\exists f \forall a \varphi(a, f(a))$.
3. The axiom of *cofinite choice*: For any a , if $\{b : \varphi(a, b)\}$ is cofinite, then there is a choice function for φ .
4. The axiom of *finite-or-cofinite choice*: For any a , if $\{b : \varphi(a, b)\}$ is either nonempty and finite or cofinite, then there is a choice function for φ .
5. The axiom of *total unique choice*: $\exists f \forall a [\exists! b \varphi(a, b) \rightarrow \varphi(a, f(a))]$.

The last notion is a modification of a variant of hyperarithmetical axiom of choice introduced by Tanaka [19] in the context of second order arithmetic, where the original formulation is given as follows:

$$\exists Z \forall n [\exists! X \varphi(n, X) \rightarrow \varphi(n, Z_n)],$$

where φ is a Σ_1^1 formula. We interpret these axioms of choice as parallelization of partial multi-valued functions. Then, we define:

$$\begin{aligned}\Sigma_1^1\text{-UC}_X &= \Sigma_1^1\text{-C}_X \upharpoonright_{\{A \subseteq X : |A|=1\}}, \\ \Sigma_1^1\text{-C}_X^{\text{fin}} &= \Sigma_1^1\text{-C}_X \upharpoonright_{\{A \subseteq X : A \text{ is finite}\}}, \\ \Sigma_1^1\text{-C}_X^{\text{cof}} &= \Sigma_1^1\text{-C}_X \upharpoonright_{\{A \subseteq X : A \text{ is cofinite}\}}, \\ \Sigma_1^1\text{-C}_X^{\text{foc}} &= \Sigma_1^1\text{-C}_X \upharpoonright_{\{A \subseteq X : A \text{ is finite or cofinite}\}}, \\ \Sigma_1^1\text{-C}_X^{\text{aof}} &= \Sigma_1^1\text{-C}_X \upharpoonright_{\{A \subseteq X : A=X \text{ or } A \text{ is finite}\}}, \\ \Sigma_1^1\text{-C}_X^{\text{aou}} &= \Sigma_1^1\text{-C}_X \upharpoonright_{\{A \subseteq X : A=X \text{ or } |A|=1\}}.\end{aligned}$$

Note that the all-or-unique choice is often denoted by AoUC_X instead of C_X^{aou} , cf. [13]. Among others, we see that the all-or-unique choice $\Sigma_1^1\text{-C}_{\mathbb{N}}^{\text{aou}}$ is quite robust. Recall from Proposition 2.6 that the Π_1^1 -totalization principle $\Pi_1^1\text{-Tot}_2$ and the Π_1^1 -diagonalization principle $\Pi_1^1\text{-DNC}_2$ restricted to *two valued functions* are equivalent to the Σ_1^1 compact choice principle. We now consider the ω -valued versions of the totalization and the diagonalization principles:

- The principle $\Pi_1^1\text{-Tot}_{\mathbb{N}}$, the *totalization problem for partial Π_1^1 functions*, is the partial multivalued function which, given a partial function $\varphi : \subseteq \omega \rightarrow \omega$ which is Π_1^1 relative to a given parameter, chooses a total extension of φ .
- The principle $\Pi_1^1\text{-DNC}_{\mathbb{N}}$, the *problem of finding a diagonally non- Π_1^1 function*, is the partial multivalued function which, given a sequence of partial functions $(\varphi_e)_{e \in \omega}$ which are Π_1^1 relative to a given parameter, chooses a total function $f : \omega \rightarrow \omega$ diagonalizing the sequence.

It is clear that $\Pi_1^1\text{-DNC}_{\mathbb{N}} \leq_W \Pi_1^1\text{-DNC}_2 \equiv_W \Pi_1^1\text{-Tot}_2 \leq_W \Pi_1^1\text{-Tot}_{\mathbb{N}}$. One can easily see the following.

PROPOSITION 2.7. $\widehat{\Sigma_1^1\text{-C}_{\mathbb{N}}^{\text{aou}}} \equiv_W \Pi_1^1\text{-Tot}_{\mathbb{N}}$.

PROOF. The argument is almost the same as Proposition 2.6. Given a partial function φ , define $S_n = \{a : \varphi(n) \downarrow \rightarrow a = \varphi(n)\}$, which is uniformly Σ_1^1 . Clearly, either $S_n = \mathbb{N}$ or S_n is a singleton. Hence, the all-or-unique choice principle chooses an element of S_n , which produces a totalization of φ .

Conversely, given a Σ_1^1 set $S_n \subseteq \mathbb{N}$, wait until S_n becomes a singleton, say $S_n = \{s_n\}$. It is easy to find an index of partial Π_1^1 function f such that $f(n) = s_n$ whenever $S_n = \{s_n\}$. Then, any total extension of f is a choice for $(S_n)_{n \in \omega}$. \dashv

We introduce the *totalization of the Σ_1^1 -choice principle (restricted to \mathcal{R}) on X* . Recall that S_p is the analytic set in X coded by $p \in \omega^\omega$. Then we define $\Sigma_1^1\text{-C}_X^{\text{tot}} \upharpoonright_{\mathcal{R}}$ as follows:

$$\begin{aligned}\Sigma_1^1\text{-C}_X^{\text{tot}} \upharpoonright_{\mathcal{R}} &: \omega^\omega \rightrightarrows \mathbb{N}, \\ \text{dom}(\Sigma_1^1\text{-C}_X^{\text{tot}} \upharpoonright_{\mathcal{R}}) &= \{p \in \omega^\omega : S_p \neq \emptyset \text{ and } S_p \in \mathcal{R}\}, \\ \Sigma_1^1\text{-C}_X^{\text{tot}} \upharpoonright_{\mathcal{R}}(p) &= \begin{cases} S_p & \text{if } x \in \mathcal{R}, \\ X & \text{otherwise.} \end{cases}\end{aligned}$$

Roughly speaking, if a given Σ_1^1 set S is nonempty and belongs to \mathcal{R} , then any element of S is a solution to this problem as a usual choice problem, but even if a set S is either empty or does not belong to \mathcal{R} , there is a need to feed some value, although any value is acceptable as a solution.

In second order arithmetic, the totalization of dependent choice is known as *strong dependent choice* (cf. Simpson [16, Definition VII.6.1]). In the Weihrauch context, Kihara-Marcone-Pauly [12] have found that the totalization of $\mathbb{C}_{\mathbb{N}^{\mathbb{N}}}$ has an important role in the study of the Weihrauch counterpart of arithmetical transfinite recursion. Here we consider the totalization of $\Sigma_1^1\text{-UC}_{\mathbb{N}^{\mathbb{N}}}$, which can be viewed as the multivalued version of the axiom of *total unique choice* mentioned above.

PROPOSITION 2.8. *Let X be a Δ_1^1 subset of \mathbb{N} . Then, $\Sigma_1^1\text{-UC}_X^{\text{tot}} \equiv_{\text{W}} \Sigma_1^1\text{-C}_X^{\text{aou}}$.*

PROOF. $\Sigma_1^1\text{-UC}_X^{\text{tot}} \leq_{\text{W}} \Sigma_1^1\text{-C}_X^{\text{aou}}$: Given a Σ_1^1 set S , wait until S becomes a singleton at some ordinal stage. If it happens, let $R = S$; otherwise keep $R = X$. One can effectively find a Σ_1^1 -index of R , and either $R = X$ or R is a singleton. $\Sigma_1^1\text{-C}_X^{\text{aou}} \leq_{\text{W}} \Sigma_1^1\text{-UC}_X^{\text{tot}}$: Trivial. \dashv

In particular, the totalization of two-valued unique choice is equivalent to the compact choice.

COROLLARY 2.9. $\widehat{\Sigma_1^1\text{-UC}_2^{\text{tot}}} \equiv_{\text{W}} \Sigma_1^1\text{-KC}_{\mathbb{N}^{\mathbb{N}}}$.

PROOF. It is clear that $\Sigma_1^1\text{-C}_2^{\text{aou}} \equiv_{\text{W}} \Sigma_1^1\text{-C}_2$. Thus, the assertion follows from Fact 2.4 and Proposition 2.5. \dashv

2.4. Arithmetical Transfinite Recursion. In reverse mathematics, the axiom of Σ_1^1 -choice $\Sigma_1^1\text{-AC}_0$ is known to be weaker than the arithmetical transfinite recursion scheme ATR_0 (cf. [16, Section VIII.4]). However, an analogous result does not hold in the Weihrauch context. The purpose of this section is to clarify the relationship between the Σ_1^1 -choice principles and the arithmetical transfinite recursion principle in the Weihrauch lattice.

Kihara-Marcone-Pauly [12] first introduced an analogue of *arithmetical transfinite recursion*, ATR_0 , in the context of Weihrauch degrees, and studied two-sided versions of several dichotomy theorems related to ATR_0 , but they have only considered the one-sided version of ATR_0 . Then, Goh [9] introduced the two-sided version of ATR_0 to examine the Weihrauch strength of König's duality theorem for infinite bipartite graphs. Roughly speaking, the above two Weihrauch problems are introduced as follows:

- The *one-sided version*, ATR , by [12] is the partial multivalued function which, given a countable well-ordering \prec , returns the jump hierarchy for \prec .
- The *two-sided version*, ATR_2 , by [9] is the total multivalued function which, given a countable linear ordering \prec , chooses either a jump hierarchy for \prec or an infinite \prec -decreasing sequence.

Here, a *jump hierarchy* for a partially ordered set $(P, <_P)$ is a sequence $(H_p)_{p \in P}$ of sets satisfying the following property: For all $p \in P$,

$$H_p = \bigoplus_{q <_P p} H'_q.$$

Even if \prec is not well-founded, some solution to $\text{ATR}_2(\prec)$ may produce a jump hierarchy for \prec (often called a *pseudo-hierarchy*) by Harrison's well-known result that there is a pseudo-well-order which admits a jump hierarchy (but a jump hierarchy is not necessarily unique). Regarding ATR_2 , we note that, sometimes in practice, what we need is not a full jump hierarchy for a pseudo-well-ordering, but a jump hierarchy for an initial segment of \prec containing its well-founded part. Therefore, we introduce another two-sided version $\text{ATR}_{2'}$ as follows:

Let L be a linearly ordered set. The *well-founded part* of L is the largest initial segment of L which is well-founded. We say that an initial segment I of L is *large* if it contains a well-founded part of L .

We consider a variant of the arithmetical transfinite recursion $\text{ATR}_{2'}$, which states that for any x -th linear order \prec_x , one can find either a jump hierarchy for a large initial segment of \prec_x or an infinite \prec_x -decreasing sequence:

$$\begin{aligned} \text{ATR}_{2'}(x) = & \{0 \frown H : H \text{ is a jump hierarchy for a large initial segment of } \prec_x\} \\ & \cup \{1 \frown p : p \text{ is an infinite decreasing sequence with respect to } \prec_x\}. \end{aligned}$$

Seemingly, $\text{ATR}_{2'}$ is completely unrelated to any other choice principles. Surprisingly, however, we will see that (the parallelization of) $\text{ATR}_{2'}$ is arithmetically equivalent to the choice principle for Σ_1^1 -compact sets, which is also equivalent to the Π_1^1 separation principle. We say that f is *arithmetically Weihrauch reducible to g* (written $f \leq_W^a g$) if we are allowed to use arithmetic functions H and K (i.e., $H, K \leq_W \lim^{(n)}$ for some $n \in \mathbb{N}$) in the definition of Weihrauch reducibility.

$$\text{THEOREM 2.10. } \widehat{\text{ATR}_{2'}} \equiv_W^a \Sigma_1^1\text{-KC}_{\mathbb{N}^{\mathbb{N}}} \equiv_W^a \widehat{\Sigma_1^1\text{-Caou}}.$$

We divide the proof of Theorem 2.10 into two lemmas.

$$\text{LEMMA 2.11. } \text{ATR}_{2'} \leq_W^a \widehat{\Sigma_1^1\text{-UC}_2^{\text{tot}}}.$$

PROOF. Fix x . Given $n \in \mathbb{N}$, let JH_n be the set of jump hierarchies for $\prec_x \upharpoonright n$. Note that JH is an arithmetical relation. For $a, k \in \mathbb{N}$, if $a \prec_x n$ then let $S_{a,k}^n$ be the set of all $i < 2$ such that for some jump hierarchy $H \in JH_n$, the k -th value of the a -th rank of H is i , that is, $H_a(k) = i$. Otherwise, let $S_{a,k}^n = \{0\}$. Clearly, $S_{a,k}^n$ is Σ_1^1 uniformly in n, a, k , and therefore there is a computable function f such that $S_{a,k}^n$ is the $f(n, a, k)$ -th Σ_1^1 set $G_{f(n)}$. Note that if $\prec_x \upharpoonright n$ is well-founded, then the product $\prod_{(a,k)} S_{a,k}^n$ consists of a unique jump hierarchy for $\prec_x \upharpoonright n$. In particular, $S_{a,k}^n$ is a singleton for any $a \prec_x n$ and $k \in \mathbb{N}$ whenever $\prec_x \upharpoonright n$ is well-founded.

Given $p_{n,a,k} \in \Sigma_1^1\text{-UC}_2^{\text{tot}}(f(n, a, k))$, define $H_n = \bigoplus_{(a,k)} p_{n,a,k}$. Note that if n is contained in the well-founded part of \prec_x , then H_n must be a jump hierarchy for $\prec_x \upharpoonright n$. By using an arithmetical power, first ask if H_n is a jump hierarchy for $\prec_x \upharpoonright n$ for every n . If yes, $\bigoplus_n H_n$ is a jump hierarchy along the whole ordering \prec_x , which is, in particular, large. If no, next ask if there exists a \prec_x -least n such H_n is not a jump hierarchy for $\prec_x \upharpoonright n$. If yes, choose such an n , and then obviously n is not contained in the well-founded part of \prec_x . Hence, $\prec_x \upharpoonright n$ is a large initial segment of \prec_x . Moreover, by minimality of n , $\bigoplus \{H'_j : j \prec_x n\}$ is the jump hierarchy for $\prec_x \upharpoonright n$. If there is no such n , let j_0 be the $<_{\mathbb{N}}$ -least number such that H_{j_0} is not a jump hierarchy for $\prec_x \upharpoonright j_0$, and $j_{n+1} \prec_x j_n$ be the

$<_{\mathbb{N}}$ -least number such that $H_{j_{n+1}}$ is not a jump hierarchy for $<_x \upharpoonright_{j_{n+1}}$. By using an arithmetical power, one can find such an infinite sequence $(j_n)_{n \in \omega}$, which is clearly decreasing with respect to $<_x$. \dashv

LEMMA 2.12. $\Sigma_1^1\text{-C}_{\mathbb{N}}^{\text{aou}} \leq_W^a \widehat{\text{ATR}}_{2'}$.

PROOF. Let S be a computable instance of $\Sigma_1^1\text{-C}_{\mathbb{N}}^{\text{aou}}$. Let $<_n$ be a linear order on an initial segment L_n of \mathbb{N} such that $n \in S$ iff $<_n$ is ill-founded. Let H_n be a solution to the instance $<_n$ of $\text{ATR}_{2'}$. Ask if there is n such that H_n is an infinite decreasing sequence w.r.t. $<_n$. If so, one can arithmetically find such an n , which belongs to S . Otherwise, each H_n is a jump hierarchy along a large initial segment J_n of L_n . By an arithmetical way, one can obtain J_n . Then ask if $L_n \setminus J_n$ is nonempty, and has no $<_n$ -minimal element. If the answer to this arithmetical question is yes, we have $n \in S$.

Thus, we assume that for any n either $L_n = J_n$ holds or $L_n \setminus J_n$ has a $<_n$ -minimal element. In this case, if $n \in S$ then J_n is ill-founded. This is because if J_n is well-founded, then J_n is exactly the well-founded part of L_n since J_n is large, and thus $L_n \setminus J_n$ is nonempty and has no $<_n$ -minimal element. Moreover, since J_n admits a jump hierarchy while it is ill-founded, J_n is a pseudo-well-order; hence H_n computes all hyperarithmetical reals. Conversely, if $n \notin S$ then H_n is a jump hierarchy along the well-order $J_n = L_n$, which is hyperarithmetical.

Now, ask if the following $(H_n)_{n \in \mathbb{N}}$ -arithmetical condition holds:

$$(1) \quad (\exists i)(\forall j) H_i \not<_T H_j.$$

By our assumption that $S \neq \emptyset$, there is $j \in S$, so that H_j computes all hyperarithmetical reals. Therefore, if (1) is true, for such an i , the hierarchy H_i cannot be hyperarithmetical; hence $i \in S$. Then one can arithmetically find such an i . If (1) is false, for any i there is j such that $H_i <_T H_j$. This means that there are infinitely many i such that H_i is not hyperarithmetical, i.e., $i \in S$. However, by our assumption, if S is infinite, then $S = \mathbb{N}$. Hence, any i is solution to S .

Finally, one can uniformly relativize this argument to any instance S . \dashv

PROOF OF THEOREM 2.10. By Corollary 2.9 and Lemmas 2.11 and 2.12. \dashv

One can also consider a jump hierarchy for a partial ordering. Then, we consider the following partial order version of Goh's arithmetical transfinite recursion. Let $(<_x)$ be a list of all countable partial orderings.

$$\begin{aligned} \text{ATR}_2^{\text{po}}(x) = & \{0 \frown H : H \text{ is a jump hierarchy for } <_x\} \\ & \cup \{1 \frown p : p \text{ is an infinite decreasing sequence with respect to } <_x\}. \end{aligned}$$

Note that $\text{ATR}_2^{\text{po}}(x)$ is an arithmetical subset of $\mathbb{N}^{\mathbb{N}}$. Obviously,

$$\text{ATR} \leq_W \text{ATR}_{2'} \leq_W \text{ATR}_2 \leq_W \text{ATR}_2^{\text{po}} \leq_W \Sigma_1^1\text{-C}_{\mathbb{N}}^{\text{aou}}.$$

This version of arithmetical transfinite recursion directly computes a solution to the all-or-unique choice on the natural numbers without using parallelization or arithmetical power.

PROPOSITION 2.13. $\Sigma_1^1\text{-C}_{\mathbb{N}}^{\text{aou}} \leq_W \text{ATR}_2^{\text{po}}$.

PROOF. Let S be a computable instance of $\Sigma_1^1\text{-C}_{\mathbb{N}}^{\text{aou}}$. Let T_n be a computable tree such that $n \in S$ iff T_n is ill-founded. Define

$$T = 00 \sqcup_n T_n = \{\langle \rangle, \langle 0 \rangle, \langle 00 \rangle\} \cup \{\langle 00n \rangle \sigma : \sigma \in T_n\}.$$

Let $i \hat{\ } H$ be a solution to the instance T of ATR_2^{po} . If $i = 1$, i.e., if H is an infinite decreasing sequence w.r.t. T , then this provides an infinite path p through T . Then, choose n such that $00n \prec p$, which implies T_n is ill-founded, and thus $n \in S$. Otherwise, $i = 0$, and thus H is a jump hierarchy for T . We define $H_n^* = H_{\langle 00n \rangle}$. Note that if $n \notin S$ then H_n^* is hyperarithmetical, and if $n \in S$ then H_n^* computes all hyperarithmetical reals. By the definition of a jump hierarchy, we have $(H_n^*)'' \leq_T H$. Thus, the following is an H -computable question:

$$(2) \quad (\exists i)(\forall j) H_i^* \not\leq_T H_j^*.$$

As in the proof of Lemma 2.12, one can show that if (2) is true for i then $i \in S$, and if (2) is false then any i is a solution to S . As before, one can uniformly relativize this argument to any instance S . \dashv

QUESTION 2.14. $\text{ATR}_2 \equiv_W^a \text{ATR}_{2'} \equiv_W^a \text{ATR}_2^{\text{po}}$?

§3. The Medvedev lattice of Σ_1^1 -Closed Sets. In this section, we investigate the structure of different (semi-)sublattices of the Medvedev degrees, corresponding to restrictions on the axiom of choice. The Medvedev reduction was introduced in [14] to classify problems according to their degree of difficulty, as for Weihrauch reducibility. However, when Weihrauch reducibility compare problems that have several instances, each of them with multiple solutions, Medvedev reducibility compare ‘‘mass problems’’, which correspond to problems with a unique instance. A mass problem is a set of functions from natural numbers to natural numbers, representing the set of solutions. For two mass problems $P, Q \subseteq \omega^\omega$, we say that P is Medvedev reducible to Q if every solution for Q uniformly computes a solution for P .

DEFINITION 3.1 (Medvedev reduction). Let $P, Q \subseteq \omega^\omega$ be sets. We say that P is Medvedev reducible to Q , written $P \leq_M Q$ if there exists a single computable function f such that for every $x \in B$, $f(x) \in A$.

If $P \subseteq X \times \omega^\omega$ is now a Weihrauch problem, that is a partial multi-valued function, then for any instance $x \in X$, one can consider the mass problem $P(x) = \{y : (x, y) \in P\}$. Using Medvedev reducibility, we are able to compare the degree of complexity of different instances of the same problem, and we will be interested in the structural property of their complexity: Given a Weihrauch problem P , we define the Medvedev lattice of P by the lattice of Medvedev degrees of $P(x)$ for all computable instances $x \in \text{dom}(P)$.

We will be mainly interested in upward density of Medvedev lattices of P , for P being various choice problems, as it can be used to Weihrauch-separate two problems. Suppose that $P \leq_W Q$ and the Medvedev lattice of Q is upward dense while the Medvedev lattice of P is not. Then, we have $P <_W Q$: Let $x \in \text{dom}(P)$ be any computable instance realizing a maximal P -Medvedev degree, and take $y \in \text{dom}(Q)$ such that $P(x) \leq_M Q(y)$ (as $P \leq_W Q$). By upward density, let $z \in \text{dom}(Q)$ be such that $Q(z) >_M Q(y)$. Then, it cannot be that there is

$t \in \text{dom}(P)$ such that $P(t) \geq_M Q(z)$, as it would contradict maximality of x . Therefore, z is a witness that $P <_W Q$.

We will consider several restricted Σ_1^1 closed subsets of Baire space, defined as below.

DEFINITION 3.2. We define several versions of axiom of choice where the set we have to choose from are restricted to special kinds:

$$\Sigma_1^1\text{-AC}_{\mathbb{N}}^{\star} = \widehat{\Sigma_1^1\text{-C}_{\mathbb{N}}^{\star}}$$

where $\star \in \{\text{fin}, \text{cof}, \text{foc}, \text{aof}, \text{aou}\}$ respectively corresponding to “finite”, “cofinite”, “finite or cofinite”, “all or finite” and “all or unique”. Note that we drop the multivalued notation $[\cdot]_{\text{mv}}$. We will also consider the Dependent Choice with the same restricted sets:

$$\Sigma_1^1\text{-DC}_{\mathbb{N}}^{\star} = \Sigma_1^1\text{-C}_{\mathbb{N}^{\mathbb{N}}} \upharpoonright_{\{[T]: \forall \sigma \in T, \{n: \sigma \frown n \in T\} \text{ is } \star\}} \cdot$$

where $\star \in \{\text{fin}, \text{cof}, \text{foc}, \text{aof}, \text{aou}\}$ has the same meaning. For any $\sigma \in \omega^{<\omega}$ a string corresponding to a choice for the previous sets, $\{n : \sigma \frown n \in T\}$ corresponds to the next possible choice, and this set has to be as specified by \star . Note that it corresponds to a particular formulation of Σ_1^1 dependent choice, as explained just before Proposition 2.2.

Throughout this section, we use the following abuse of notation.

NOTATION. Given a Weihrauch problem P , we abuse notation by using the formula $A \in P$ to mean that A is a computable instance of P , that is, $A = P(x)$ for some computable $x \in \text{dom}(P)$.

In the following, we will say that a tree T is homogeneous if its set of paths is homogeneous. It corresponds to $[T]$ being some $\prod_{n \in \mathbb{N}} A_n$, that is $[T]$ is truly an instance of the axiom of choice. We see a homogeneous tree T as a tree where the set $\{n \in \mathbb{N} : \sigma \frown n \in T\}$ does not depend on $\sigma \in T$.

Before going further, we mention that under Medvedev reducibility, AC and DC are always different, as there exists product of two homogeneous set that are never Medvedev equivalent to a homogeneous set.

PROPOSITION 3.3. *For every $\star \in \{\text{fin}, \text{cof}, \text{foc}, \text{aof}\}$, there exists $A \in \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\star}$ such that there is no $B \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\star}$ with $A \equiv_M B$.*

PROOF. Simply take A_0 and A_1 in $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$ with are not Medvedev equivalent, and consider $C = 0 \frown A_0 \cup 1 \frown A_1$, which is in $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$. Now, toward a contradiction, suppose also that there exists H in $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$ (actually there is no need for H to be Σ_1^1) such that $C \equiv_M H$. Let ϕ and ψ be witness of this, i.e ϕ (resp. ψ) is total on C (resp. H) and its image is included in H (resp. C).

Now, we describe a way for some A_i to Medvedev compute A_{1-i} : Let $i \in 2$ and σ be extensible in H such that $\psi(\sigma; 0) = 1 - i$. Given $x \in A_i$, apply ϕ on $i \frown x$ to obtain an element y of H . Replace the beginning of y by σ and apply ϕ : by homogeneity, y with σ as beginning is still in H , and the result has to be in $(1 - i) \frown A_{1-i}$.

For other values of \star , the proof is very similar. ⊣

Note that the above proof used the fact that there always exists infimum in $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^*$ while this is not clear in $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^*$. However, using Weihrauch reducibility, dependent and independent choices are equivalent:

THEOREM 3.4. $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}} \equiv_W \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$

PROOF. It is clear by Fact 2.4 that we have $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}} \leq_W \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}} \leq_W \Sigma_1^1\text{-WKL} \leq_W \widehat{\Sigma_1^1\text{-C}_2} \leq_W \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$. \dashv

3.1. The Medvedev lattices of $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$ and $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$. In this section we examine the Medvedev degree structure of Σ_1^1 choice for finite sets. We already have defined the compact choice $\Sigma_1^1\text{-KC}_{\mathbb{N}^{\mathbb{N}}}$ in Section 2.2, which is clearly the same problem as $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$ up to the coding of the instance. In Proposition 2.5 we proved that for dependant choice, the finite choice can always be weakened to independent choice over 2 possibility, making $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}} =_W \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$.

In the following, we are interested in a finer analysis of $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$ and $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$ using Medvedev reducibility. In particular, we show that upward density does not hold in both of these lattices: Indeed, we show that there is a single nonempty compact homogeneous Σ_1^1 set coding all information of nonempty compact Σ_1^1 sets. This can be viewed as an effective version of Dellacherie's theorem (cf. Steel [18]) in descriptive set theory.

THEOREM 3.5. *There exists a maximum in the Medvedev lattices of $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$ and in $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$. In other words, there exists $A \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$ such that for every $B \in \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$, $B \leq_M A$.*

PROOF. To construct a greatest element in $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$, we only need to enumerate all nonempty compact Σ_1^1 sets $S_e \subseteq \omega^\omega$. Consider a Δ_1^1 approximation $(S_{e,\alpha})_{\alpha < \omega_1^{\text{CK}}}$ of S_e . Note that emptiness of S_e is a Π_1^1 -property, and therefore, if $S_e = \emptyset$, then it is witnessed at some stage $\alpha < \omega_1^{\text{CK}}$. Let α be the least ordinal such that $S_{e,\alpha}$ is empty. By compactness of S_e , such an α must be a successor ordinal.

Now we construct a uniform sequence $(T_e)_{e \in \omega}$ of nonempty Σ_1^1 sets such that if $S_e \neq \emptyset$ then $S_e = T_e$. Define $T_{e,0} = \mathbb{N}^{\mathbb{N}}$, and for any $\alpha > 0$, $T_{e,\alpha} = S_{e,\alpha}$ if $S_{e,\alpha} \neq \emptyset$. If $\alpha > 0$ is the first stage such that $S_{e,\alpha} = \emptyset$, then α is a successor ordinal, say $\alpha = \beta + 1$, and define $T_{e,\gamma} = T_{e,\beta}$ for any $\gamma \geq \alpha$, and ends the construction. It is not hard to check that the sequence $(T_e)_{e \in \omega}$ has the desired property.

As a maximal element, it suffices to take the product of all T_n . Note that by the fact that $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}} \equiv_W \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$, it also shows the maximality result for $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$. \dashv

Even if lattices of dependent and independent choice share a common maximum, they still have structural differences. The most evident one is the existence of infimums: Given two Σ_1^1 trees T_1 and T_2 , it is easy to create a tree T such that $[T]$ is the infimum of $[T_0]$ and $[T_1]$, by considering for example $0 \smallfrown T_0 \cup 1 \smallfrown T_1$, or $2\mathbb{N} \smallfrown T_0 \cup (2\mathbb{N} + 1) \smallfrown T_1$ depending on the restriction on the dependent choice. However, this is not possible when the trees are homogeneous as in the independent choice. We now prove that $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$ has infimum for pairs in $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$, by

first showing that below any Σ_1^1 compact set, there is a greatest homogeneous degree.

THEOREM 3.6. *For every A in $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$, there exists $X \leq_M A$ in $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$ such that:*

$$\forall Y \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}} [Y \leq_M A \implies Y \leq_M X].$$

PROOF. We will define X to be equal to $\prod_e \prod_n S_n^e$, with the following requirement: if ϕ_e is total on A , then $\prod_n S_n^e$ is included in the smallest homogeneous superset of $\Phi_e(A)$. S_n^e is defined by the following Σ_1^1 way: First wait to see that Φ_e is total on A . If it happens, and wait for $\Phi_e(A; n)$ to be finite, which has to happen by compactness of A . Then, remove everything but the values $\Phi_e(A; n)$.

To conclude, if $Y \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$ is such that $Y \leq_M X$ as witnessed by Φ_e , then $Y \leq_M X$ as $\prod_n S_n^e \subseteq Y$. \dashv

COROLLARY 3.7. *For every $A, B \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$, there exists $X \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$ such that $X \leq_M A, B$ and*

$$\forall Y \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}} [(Y \leq_M A \wedge Y \leq_M B) \implies Y \leq_M X].$$

PROOF. Just apply Theorem 3.6 to $0 \frown A \cup 1 \frown B$. \dashv

As a special property of Σ_1^1 compact sets, we have the following analog of the hyperimmune-free basis theorem. For $p, q \in \mathbb{N}^{\mathbb{N}}$ we say that p is *higher Turing reducible to q* (written $p \leq_{hT} q$) if there is a partial Π_1^1 -continuous function $\Phi: \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$ such that $\Phi(q) = p$ (see Bienvenu-Greenberg-Monin [1] for more details).

LEMMA 3.8. *For any Σ_1^1 compact set $K \subseteq \mathbb{N}^{\mathbb{N}}$ there is an element $p \in K$ such that every $f \leq_{hT} p$ is majorized by a Δ_1^1 function.*

PROOF. Let (ψ_e) be a list of higher Turing reductions. Let $K_0 = K$. For each e , let $Q_{e,n} = \{x \in \mathbb{N}^{\mathbb{N}} : \psi_e^x(n) \uparrow\}$. Then $Q_{e,n}$ is a Σ_1^1 closed set. If $K_e \cap Q_{e,n}$ is nonempty for some n , define $K_{e+1} = K_e \cap Q_{e,n}$ for such n ; otherwise define $K_{e+1} = K_e$. Note that if $K_e \cap Q_{e,n}$ is nonempty for some n , then ψ_e^x is undefined for any $x \in K_{e+1}$. If $K_e \cap Q_{e,n}$ is empty for all n , then ψ_e is total on the Σ_1^1 compact set K_e , one can find a Δ_1^1 function majorizing ψ_e^x for all $x \in K_e$ (cf. [12]). Define $K_\infty = \bigcap_n K_n$, which is nonempty. Then, for any $p \in K_\infty$, every $f \leq_{hT} p$ is majorized by a Δ_1^1 function. \dashv

Note that continuity of higher Turing reduction is essential in the above proof. Indeed, one can show the following:

PROPOSITION 3.9. *There is a nonempty Σ_1^1 compact set $K \subseteq \mathbb{N}^{\mathbb{N}}$ such that for any $p \in K$, there is $f \leq_T p'$ dominates all Δ_1^1 functions.*

PROOF. Let (φ_e) be an effective enumeration of all partial Π_1^1 functions $\varphi_e: \subseteq \omega \rightarrow 2$. As in the argument in Proposition 2.6 or Proposition 2.7, one can see that the set S_e of all two-valued totalizations of the partial Π_1^1 function φ_e is nonempty and Σ_1^1 . Then the product $K = \prod_e S_e$ is also a nonempty Σ_1^1 subset of 2^ω . It is clear that every $p \in K$ (non uniformly) computes any total Δ_1^1 function on ω . Let BB be a total p' -computable function which dominates all p -computable functions. In particular, $BB \leq_T p'$ dominates all Δ_1^1 functions. \dashv

3.2. The Medvedev lattices of $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$ and $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$. We now discuss about choice, when the sets from which we choose can be either everything, or finite. We will show that under the Weihrauch scope, this principle is a robust one that is strictly above $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$. It also share with the latter that dependent or independent choice does not matter, and the existence of a maximal element containing all the information, with very similar proof as for $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$.

In Proposition 2.7, we showed that $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aou}}$ is robust. We give two other evidences of this in the following theorems.

THEOREM 3.10. *For any $A \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$, there exists $B \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aou}}$ such that $A \leq_M B$.*

PROOF. Let $A = \prod_n A_n \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$. We define $B = \prod_{\langle m, n \rangle} B_n^m$ such that $A \leq_M B$. We will ensure that there exists a single computable function Φ such that for any m and $X \in \prod_n B_n^m$ we have $\Phi(X) \in A_m$.

We first describe the co-enumeration of B_n^m . Let $(A_{m, \alpha})_{\alpha < \omega_1^{\text{CK}}}$ be an approximation of A_m . First, wait for the first stage where A_m is finite. If it happens, wait for exactly n additional elements to be removed from A_m . If this happens, remove from B_n^m all elements but $c \in \mathbb{N}$, the integer coding for the finite set A_m at this stage, say at stage α_n . More formally, let D_e be the finite set coded by e , and set $B_n^m = \{c\}$ with $D_c = A_m[\alpha_n]$.

Now, we describe the function Φ . Given X , find the first i such that we do not have the following: $X(i+1)$ viewed as coding a nonempty finite set consists of elements from $X(i)$ with exactly one element removed. Note that $X(0)$ codes a finite set, so the length of chains $D_{X(0)} \supseteq D_{X(1)} \supseteq \dots$ has to be finite. Therefore, there exists such an i . Then, output any element from $D_{X(i)}$. Whenever we reach stage α_n , we have $D_{X(n)} = A_m[\alpha_n]$, and thus $i \geq n$. This implies that the chosen element $\Phi(X)$ is contained in A_m , as required. \dashv

We have seen in Proposition 2.6 that $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$ is Weihrauch equivalent to $\Pi_1^1\text{-Tot}_2$ and $\Pi_1^1\text{-DNC}_2$. Moreover, we have also shown in Proposition 2.7 that $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aou}}$ is Weihrauch equivalent to $\Pi_1^1\text{-Tot}_{\mathbb{N}}$. Recall from Section 2.3 we have introduced the Π_1^1 -diagonalization principle $\Pi_1^1\text{-DNC}_{\mathbb{N}}$, which is a special case of the cofinite (indeed, co-singleton) Σ_1^1 -choice principle. In particular, at first we know a bound of the number of elements removed from a cofinite set. We now consider the following principle for a bound $\ell \in \mathbb{N}$:

$$\Sigma_1^1\text{-C}_X^{\text{cof}\uparrow\ell} = \Sigma_1^1\text{-C}_X \upharpoonright_{\{A \subseteq X : |X \setminus A| \leq \ell\}}.$$

We call the coproduct of $(\Sigma_1^1\text{-C}_X^{\text{cof}\uparrow\ell})_{\ell \in \mathbb{N}}$ the *strongly-cofinite choice* on \mathbb{N} , and write $\Sigma_1^1\text{-C}_X^{\text{cof}\uparrow*}$. Later we will show that the cofinite choice $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}}$ is not Medvedev or Weihrauch reducible to the all-or-finite choice $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$; however we will see that the strong cofinite choice is Medvedev/Weihrauch reducible to $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$.

Even more generally, we consider the *finite-or-strongly-cofinite choice*, denoted $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fosc}}$, which accepts an input of the form (p, ψ) , where for any $n \in \mathbb{N}$, $p(n)$ is a code of a Σ_1^1 subset $S_{p(n)}$ of \mathbb{N} such that either $S_{p(n)}$ is nonempty and finite, or $|\mathbb{N} \setminus S_{p(n)}| \leq \psi(n)$. If (p, ψ) is an acceptable input, then $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fosc}}$ chooses one element from $\prod_n S_{p(n)}$.

We show that the all-or-unique choice is already strong enough to compute the finite-or-strongly-cofinite choice:

PROPOSITION 3.11. *For any $A \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fosc}}$, there exists $B \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$ such that $A \leq_M B$.*

PROOF. Let $A = \prod_n A_n$ with a bound ψ is given. We will construct a uniformly Σ_1^1 sequence $(B_m^n)_{m \leq \psi(n)}$ of subsets of \mathbb{N} . We use $B_0^n, B_1^n, \dots, B_{\psi(n)-1}^n$ to code information which element is removed from A_n whenever A_n is cofinite, and use $B_{\psi(n)}^n$ to code full information of A_n whenever A_n is finite. If a_0 is the first element removed from A_n , then put $B_0^n = \{a_0\}$, and if a_1 is the second element removed from A_n , then put $B_1^n = \{a_1\}$, and so on. If A_n becomes a finite set, then $B_{\psi(n)}$ just copies A_n . One can easily ensure that for any $n \in \mathbb{N}$ and $m < \psi(n)$, if A_n is finite, then B_m^n is a singleton, which is not contained in A_n ; otherwise $B_m^n = \mathbb{N}$. Moreover, we can also see that either $B_{\psi(n)}^n$ is nonempty and finite or $B_{\psi(n)}^n = \mathbb{N}$.

Now, assume that $X \in \prod_{n,m} B_m^n$ is given. If $X(n, \psi(n)) \notin \{X(n, i) : i < \psi(n)\}$, then put $Y(n) = X(n, \psi(n))$. Otherwise, choose $Y(n) \notin \{X(n, i) : i < \psi(n)\}$. Clearly, the construction of Y from X is uniformly computable.

If A_n becomes a finite set, the first case happens, and $Y(n) = X(n, \psi(n)) \in B_{\psi(n)}^n = A_n$. If A_n remains cofinite, it is easy to see that $\mathbb{N} \setminus A_n \subseteq \{X(n, i) : i < \psi(n)\}$, and therefore $Y(n) \in A_n$. Consequently, $Y \in A$. \dashv

COROLLARY 3.12. $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aou}} \equiv_W \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}} \equiv_W \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fosc}}$.

In the following we will only consider all-or-finite choice, by convenience. We now prove that dependent choice does not add any power, and the existence of a maximal instance that already code all the other instances, with very similar proofs as in the $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$ case.

THEOREM 3.13. *For every $A \in \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$ there exists $B \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$ such that $A \leq_M B$.*

PROOF. The argument is similar as for the finite case (Fact 2.4 or Theorem 3.5). If T is a Σ_1^1 tree, define T_σ by the following Σ_1^1 procedure: First, wait for $\{n : \sigma \hat{\ } n \in T\}$ to be finite but nonempty. If this happens, at every stage define T_σ to be $\{n : \sigma \hat{\ } n \in T\}$ except if this one becomes empty. Note that if $\{n : \sigma \hat{\ } n \in T\}$ becomes a finite set at some stage α_0 , but an empty set at a later stage α_1 , then the least such stage α_1 must be a successor ordinal, and therefore we can keep T_σ being nonempty (see also the proof of Theorem 3.5). Clearly, T_σ is either finite or \mathbb{N} and $\prod_{\sigma \in \omega^{<\omega}} [T_\sigma] \geq_M [T]$. \dashv

COROLLARY 3.14. $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}} \equiv_W \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$.

PROOF. By uniformity of the precedent proof. \dashv

The upward density of the axiom of choice on ‘‘all-or-finite’’ sets would allow us to Weihrauch separate it from its ‘‘finite’’ version. However, $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$ does also have a maximum element.

THEOREM 3.15. *There exists a single maximum Medvedev degree in $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$ and $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$.*

PROOF. The argument is similar as Theorem 3.5, even though we have no compactness assumption. By the fact that $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}} \equiv_W \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$, it suffices to prove the result for one, let's say $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$. Let $A_e = \prod_n S_n^e$ be the e -th Σ_1^1 homogeneous set. We set $\widehat{A}_e = \prod_n \widehat{S}_n^e$ to be defined by the following Σ_1^1 procedure: First, wait for some S_n^e to become finite and nonempty. If this happens, define $\widehat{S}_n^e = S_n^e$ until it removes its last element. At this point, leaves \widehat{S}_n^e nonempty, which is possible since it can happen only at a successor stage (see also the proof of Theorem 3.13).

Then $(\widehat{A}_e)_{e \in \mathbb{N}}$ is an enumeration of all nonempty elements of $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$. Define the maximum to simply be $\prod_e \prod_n \widehat{S}_n^e$. \dashv

We now prove that the relaxed constraint on the sets that allows them to be full does increase the power of the choice principle, making $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$ strictly above $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$. We use the fact that the lattice of $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$ has a maximal element, and we show that it must be strictly below some instance of $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$.

THEOREM 3.16. *For every $A \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$, there exists $B \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$ such that $A <_M B$.*

PROOF. We will find $C = \prod_n C_n \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$ such that $C \not\leq_M A$. Then, $A \times C$ will witness the theorem.

Now, let us describe the co-enumeration of C_n . First, wait for $\Phi_n(\cdot; n)$ to be total on A , where Φ_n is the n -th partial computable function. Then, wait for it to take only finitely many values, which will happen by compactness. At this point, remove everything from C_n except $\max \Phi_n(A; n) + 1$.

We have that C_n is either \mathbb{N} if the co-enumeration is stuck waiting for $\Phi_n(\cdot; n)$ to be total, or a singleton otherwise. Also, it is clear that for any n , Φ_n cannot be a witness that $C \leq_M A$, so $C \not\leq_M A$. \dashv

COROLLARY 3.17. *We have $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}} <_W \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$.*

PROOF. By Theorem 3.16 and Theorem 3.5. \dashv

One can also use the domination property to separate the all-or-finite choice principle and the (σ) -compact principle.

PROPOSITION 3.18. *There exists $A \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$ such that every element $p \in A$ computes a function which dominates all Δ_1^1 functions.*

PROOF. Let $(\varphi_e)_{e \in \mathbb{N}}$ be an effective enumeration of all partial Π_1^1 functions on ω . Put $s(e) = \sum_{n \leq e} n$. Define $A_{s(e)+k} \subseteq \mathbb{N}$ for $k \leq e$ as follows. Begin with $A_{s(e)+k} = \mathbb{N}$. Wait until we see $\varphi_e(k) \downarrow$. If it happens, set $A_{s(e)+k} = \{\varphi_e(k)\}$. Define $A = \prod_n A_n$. Then define $\Psi(p; n) = \sum_{k \leq e} p(k)$, which is clearly computable in p . It is easy to see that $\Psi(p)$ dominates all Δ_1^1 function whenever $p \in A$. Indeed, since Ψ is total, every $p \in A$ tt -computes a function which dominates all Δ_1^1 functions. \dashv

This shows that the all-or-finite Σ_1^1 -choice is not Weihrauch-reducible to the σ -compact Σ_1^1 -choice.

COROLLARY 3.19. $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}} \not\leq_W \Sigma_1^1\text{-K}_{\sigma}\text{C}_{\mathbb{N}^{\mathbb{N}}}$.

PROOF. Recall that a computable instance of $\Sigma_1^1\text{-K}_\sigma\text{C}_{\mathbb{N}^{\mathbb{N}}}$ is a countable union of compact Σ_1^1 sets. Thus, by Lemma 3.8, there is a solution p to a given computable instance of $\Sigma_1^1\text{-K}_\sigma\text{C}_{\mathbb{N}^{\mathbb{N}}}$ such that any function which is higher Turing reducible to p is majorized by a Δ_1^1 function. However, by Proposition 3.18, there is a computable instance of $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aou}}$ whose solution consists of Δ_1^1 dominants. \dashv

COROLLARY 3.20. *The σ -compact choice $\Sigma_1^1\text{-K}_\sigma\text{C}_{\mathbb{N}^{\mathbb{N}}}$ is not parallelizable, and $\Sigma_1^1\text{-KC}_{\mathbb{N}^{\mathbb{N}}} <_{\text{W}} \Sigma_1^1\text{-K}_\sigma\text{C}_{\mathbb{N}^{\mathbb{N}}}$.*

PROOF. Clearly, $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$ (and therefore $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$) is Weihrauch reducible to the parallelization of the σ -compact Σ_1^1 -choice $\Sigma_1^1\text{-K}_\sigma\text{C}_{\mathbb{N}^{\mathbb{N}}}$. Therefore, by Corollary 3.19, the σ -compact Σ_1^1 -choice is not parallelizable. By definition, any $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^*$ is parallelizable, and so is the compact Σ_1^1 -choice $\Sigma_1^1\text{-KC}_{\mathbb{N}^{\mathbb{N}}}$ by Proposition 2.5. \dashv

3.3. The Medvedev lattices of $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}}$ and $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}}$. The choice problem when all sets are cofinite is quite different from the other restricted choices we study. It is the only one that does not contains $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$.

Let us fix an instance $A = \prod_n A_n$ of $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}}$. For every n , A_n is cofinite, so there exists a_n such that for any $i \geq a_n$, we have $i \in A_n$. Now, call $f : \mathbb{N} \mapsto \mathbb{N}$. We have that $f \in A$, and for every g pointwise above f , we must have $g \in A$. So we clearly have $A \leq_{\text{W}} \{g \in \omega^\omega : \forall i, f(i) \leq g(i)\} = A_f$. This essential property of $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}}$ prevents an instance to have more computational power than an A_f for some $f \in \omega^\omega$.

The cofiniteness still allows some more power, as we will prove in this section that $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}}$ is Weihrauch incomparable with both $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$ and $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$.

THEOREM 3.21. *There exists an $A \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}}$ such that for any $B \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$ $A \not\leq_M B$.*

PROOF. We use the existence of a maximal all-or-finite degree of Theorem 3.15 to actually only prove

$$\forall B \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}, \exists A \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}} : B \not\leq_M A.$$

Fix a $B = \prod_{n \in \mathbb{N}} B_n$, with $B_n \subseteq \mathbb{N}$ being either \mathbb{N} or finite. We will construct $A = \prod_{e \in \mathbb{N}} S_e$, and use S_e to diagonalize against Φ_e being a witness for the reduction, by ensuring that either Φ_e is not total on B , or $\exists k \in \mathbb{N}, \sigma \in \prod_{n < k} B_n$ with $\Phi_e(\sigma; e) \downarrow \notin S_e$. Here is a description of the construction of S_e , along with sequences of string (σ_n) and (τ_n) :

1. First of all, wait for a stage where $B \subseteq \text{dom}(\Phi_e)$, that is Φ_e is total on the the current approximation of B . Define $\sigma_0 = \epsilon = \tau_0$.
2. Let n be the maximum such that τ_n is defined. Find $\sigma_{n+1} \succ \tau_n$ such that $\Phi_e(\sigma_{n+1}; e) \downarrow \in S_e$. Take σ_{n+1} to be the least such, and remove $\Phi_e(\sigma_{n+1}; e)$ from S_e .
3. Wait for some stage where $\Phi_e(B; e) \subseteq S_e$. If it happens, wait again for the current approximation of B to be ‘‘all or finite’’, which will happen. Take τ_{n+1} to be the greatest prefix of σ_{n+1} still in B , and return to step (2).

Let us prove that S_e is cofinite. If the co-enumeration of S_e stays at step (1), then $S_e = \mathbb{N}$ is cofinite. Otherwise, let us prove that there can only be finitely many τ_n defined.

Suppose infinitely many (τ_n) are defined. Then, this must have a limit: Let l be a level such that $(\tau_n(l'))_n$ stabilizes for all $l' < l$. Start from a stage where they have stabilized. From this stage, if $\tau_n(l)$ change, it must have been removed from B_l . But then, B_l will become finite before the co-enumeration continue, and $(\tau_n(l))$ can only take value from B_l and never twice the same. Therefore, $(\tau_n(l))$ becomes constant at some point.

If there are only finitely many τ_n , then only finitely many things are removed from S_e which is cofinite. It remains to prove that $B \not\leq_M A$. Suppose Φ_e is a potential witness for the inequality. Either Φ_e is not total on B , or we get stuck at some step in the co-enumeration of S_e , waiting for $\Phi_e(A; e) \in S_e$ to never happen, leaving us with $\Phi_e(A; e) \notin S_e$. \dashv

THEOREM 3.22. *For any $A \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$ and $B \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}}$, if $A \leq_M B$, then A contains a Δ_1^1 path.*

PROOF. Assume that $A \leq_M B$ via some functional Φ , and A and B are of the forms $\prod_n A_n$ and $\prod_n B_n$, respectively. We describe the Δ_1^1 procedure to define C :

Given n , in parallel, wait for n to be enumerated in one of those two Π_1^1 sets:

1. If n is enumerated in $\{n : \exists k \in \mathbb{N} \forall f \in \omega^\omega, \exists \sigma \geq f, \Phi(\sigma; n) = k\}$, define $C(n)$ to be one of these k .
2. If n is enumerated in $\{n : \forall f \in \omega^\omega, \forall k, \exists k' > k, \exists \sigma \geq f \text{ such that } \Phi(\sigma; n) = k'\}$ then define $C(n) = 0$.

Here, $\sigma \geq f$ denotes the pointwise domination order, that is, $\sigma(n) \geq f(n)$ for all $n < |\sigma|$. It is clear that one of the two options will happen. Let $f \in \omega^\omega$ be such that $\forall k \geq f(n), k \in B_n$. In case (1), it is clear that $C(n) \in A_n$. In case (2), it is clear that A_n is infinite, therefore it is equal to \mathbb{N} and $C(n) \in A_n$. So $C \in A$. \dashv

COROLLARY 3.23. *We have both $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}} \not\leq_W \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$ and $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}} \not\leq_W \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}}$.*

PROOF. The first part is implied by Theorem 3.21. The second part is implied by Theorem 3.22 and the fact that there exists Σ_1^1 finitely branching homogeneous trees with no Δ_1^1 member. \dashv

We now show upper density of $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}}$, using a similar proof from Theorem 3.21.

THEOREM 3.24. *The Medvedev degrees of $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}}$ are upward dense.*

PROOF. Fix a $B = \prod_{n \in \mathbb{N}} B_n$, with $B_n \subseteq \mathbb{N}$ being cofinite. We will construct $A = \prod_{e \in \mathbb{N}} S_e$, and use S_e to diagonalize against Φ_e being a witness for the reduction, by ensuring that either Φ_e is not total on B , or $\exists k \in \mathbb{N}, \sigma \in \prod_{n < k} B_n$ with $\Phi_e(\sigma; e) \notin S_e$. Here is a description of the construction of S_e , along with sequences of string (σ_n) and (τ_n) :

1. First of all, wait for a stage where $B \subseteq \text{dom}(\Phi_e)$, that is Φ_e is total on the the current approximation of B . Define $\sigma_0 = \epsilon = \tau_0$.
2. Let n be the maximum such that τ_n is defined. Find $\sigma_{n+1} \succ \tau_n$ such that $\Phi_e(\sigma_{n+1}; e) \notin S_e$. Take σ_{n+1} to be the least such, and remove $\Phi_e(\sigma_{n+1}; e)$ from S_e .

3. Wait for some stage where $\Phi_e(B; e) \subseteq S_e$. Take τ_{n+1} to be the greatest prefix of σ_{n+1} still in B , and return to step (2).

Let us prove that S_e is cofinite. If the co-enumeration of S_e stays at step (1), then $S_e = \mathbb{N}$ is cofinite. Otherwise, let us prove that there can only be finitely many τ_n defined.

Suppose infinitely many (τ_n) are defined. Then, this must have a limit: Let l be a level such that $(\tau_n(l'))_n$ stabilizes for all $l' < l$. Start from a stage where they have stabilized. From this stage, if $\tau_n(l)$ change, it must have been removed from B_l . But that can happen only finitely many times, as B_l is cofinite. Therefore, $(\tau_n(l))$ becomes constant at some point.

If there are only finitely many τ_n , then only finitely many things are removed from S_e which is cofinite. It remains to prove that $B \not\leq_M A$. Suppose Φ_e is a potential witness for the inequality. Either Φ_e is not total on B , or we get stuck at some step in the co-enumeration of S_e , waiting for $\Phi_e(A; e) \in S_e$ to never happen, leaving us with $\Phi_e(A; e) \not\subseteq S_e$. \dashv

We here also note some domination property of the cofinite choice. The following fact is implicitly proved by Kihara-Marcone-Pauly [12] to separate Σ_1^1 -WKL and $\widehat{\Sigma_1^1}$ - $\mathcal{C}_{\mathbb{N}}$.

FACT 3.25 ([12]). There exists $A \in \Sigma_1^1$ - $\mathcal{AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}}$ such that every element $p \in A$ computes a function which dominates all Δ_1^1 functions.

Therefore, as in the proof of Corollary 3.19, we can observe the following.

COROLLARY 3.26. Σ_1^1 - $\mathcal{AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}} \not\leq_W \Sigma_1^1$ - $\mathcal{K}_{\sigma} \mathcal{C}_{\mathbb{N}^{\mathbb{N}}}$.

3.4. The Medvedev lattices of Σ_1^1 - $\mathcal{AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{foc}}$, Σ_1^1 - $\mathcal{DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{foc}}$, Σ_1^1 - $\mathcal{AC}_{\mathbb{N}^{\mathbb{N}}}$, Σ_1^1 - $\mathcal{DC}_{\mathbb{N}^{\mathbb{N}}}$. In this part, we study the weakened restriction to sets that are either finite, or cofinite. This restriction allows any instance from the stronger restrictions, thus Σ_1^1 - $\mathcal{AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$, Σ_1^1 - $\mathcal{AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$, and Σ_1^1 - $\mathcal{AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}}$ are Weihrauch reducible to Σ_1^1 - $\mathcal{AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{foc}}$ (and similarly for dependent choice). It is the weakest form of restriction other than “no restriction at all” that we will consider. However, we don’t know if this restriction does remove some power and is strictly below Σ_1^1 - $\mathcal{AC}_{\mathbb{N}^{\mathbb{N}}}$ or not, as asked in Question 3.28.

In the following, we will show upper density for both Σ_1^1 - $\mathcal{AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{foc}}$, Σ_1^1 - $\mathcal{DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{foc}}$ and Σ_1^1 - $\mathcal{AC}_{\mathbb{N}^{\mathbb{N}}}$, Σ_1^1 - $\mathcal{DC}_{\mathbb{N}^{\mathbb{N}}}$. We will give several different proofs of this result. Theorem 3.27 has a weaker conclusion, but is an attempt to answer Question 3.28. This attempt fails, by being not effective enough to make a diagonalization out of it.

THEOREM 3.27. *For every $A \in \Sigma_1^1$ - $\mathcal{AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{foc}}$, there exists $B \in \Sigma_1^1$ - $\mathcal{AC}_{\mathbb{N}^{\mathbb{N}}}$ such that $B \not\leq_M A$.*

PROOF. We will build $B = \prod_e B_e \in \Sigma_1^1$ - $\mathcal{AC}_{\mathbb{N}^{\mathbb{N}}}$ by defining B_e in a uniform Σ_1^1 way, such that if Φ_e is total on A , then $\Phi_e(A; e) \notin B_e$.

Fix $e \in \mathbb{N}$, and $A = \prod_n A_n \in \Sigma_1^1$ - $\mathcal{AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{foc}}$. In our definition of the co-enumeration of B_e along the ordinals, there will be two main steps in the co-enumeration: The first one forces that if $\Phi_e(A; e) \subseteq B_e$, then for every l , $|\Phi_e(A_{\upharpoonright \leq l})| < \omega$ where $A_{\upharpoonright \leq l} = \{\sigma \in \omega^{< l} : [\sigma] \cap A \neq \emptyset\}$. The second step will force that if $\Phi_e(A; e) \subseteq B_e$, then A is empty or Φ_e is not total on A .

In order to conduct all these steps, we will need to remove several times an element of B_e , but we do not want it to become empty. This is why in parallel of removing elements from B_e , we also mark some as “saved for later”, so we know that even after infinitely many removal, B_e is still infinite.

We now describe the first part of the co-enumeration. For clarity, we use the formalism of an infinite time algorithm, that could easily be translated into a Σ_1^1 formula.

```

for  $l \in \omega$  do
  | Mark a new element of  $B_e$  as saved;
  | while  $\Phi_e(A_{\uparrow \leq l}; e)$  is infinite do
  |   | for  $i \in \omega$  do
  |   |   | Mark a new element of  $B_e$  as saved;
  |   |   | Remove from  $B_e$  the first element of  $\Phi_e(A_{\uparrow \leq l}; e)$  that is not
  |   |   | saved, if it exists. Otherwise, exit the loop;
  |   |   | Wait for  $\Phi_e(A; e) \subseteq B_e$ ;
  |   | end
  |   | Wait for every  $A_n$  to be finite or cofinite;
  |   | Unmark the elements marked as saved by the “for  $i \in \omega$ ” loop;
  | end
end

```

Let us first argue that for a fixed l , the “while” part can only be executed a finite number of times. At every execution of the “for $i \in \omega$ ” loop, either one element of $A_{\uparrow \leq l}$ is removed, or $\Phi_e(A_{\uparrow \leq l}; e)$ is finite and we exit the while loop (this is because at every step, only finitely many elements are marked as saved). But this means that if a “for” loop loops infinitely many times, by the pigeon hole principle there must exist a specific level $l_0 \leq l$ such that A_{l_0} went from cofinite to finite. But this can happen only $l + 1$ times, and the “while” loop can only run $l + 1$ many times.

Let us now argue that at every stage of the co-enumeration, including its end, B_e is infinite. Fix a level l , and suppose that at the beginning of a “while” loop, B_e is infinite. As after every loop of the “for $i \in \omega$ ” loop one element is saved, it means that at after all these infinitely many loop, B_e contains infinitely many elements. This will happen during only finitely many loops of the “while” loop, so at the beginning of level $l + 1$, B_e is infinite. A similar argument with the elements saved by the first “for $l \in \omega$ ” loop shows that if the first part of the co-enumeration ends, B_e is still infinite.

Now we split into two cases. If the first part of the co-enumeration never stops, as the “while” loop is in fact bounded, it means that the co-enumeration is forever stuck waiting for $\Phi_e(A; e) \subseteq B_e$. But as this never happens, B_e has the required property. Otherwise, the first part of the co-enumeration ends, and we are at a stage where for every l , $\Phi_e(A_{\uparrow \leq l}; e)$ is finite, but B_e is infinite. We now continue to the second part of the co-enumeration of B_e :

```

for  $l \in \omega$  do
  | Remove from  $B_e$  all the elements of  $\Phi_e(A_{\uparrow \leq l}; e)$ ;
  | Wait for  $\Phi_e(A; e) \subseteq B_e$ ;
end

```

We argue that this co-enumeration never finish. Let $x \in A$, and $\sigma \prec x$ such that $\Phi_e(\sigma; e) \downarrow = k$. The co-enumeration will never reach the stage where $l = |\sigma + 1|$, as it cannot go through $l = |\sigma|$: If it reaches such stage, it will remove k from B_e and never have $\Phi_e(A; e) \subseteq B_e$. So, the co-enumeration has to stop at some step of the “for” loop, waiting for $\Phi_e(A; e) \subseteq B_e$ never happening. As B_e is infinite, it has the required property. \dashv

In order to Weihrauch-separate $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{foc}}$ from the unrestricted $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$, one would need a stronger result with a single $B \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$ not Medvedev reducible to any $A \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{foc}}$. We could try to apply the same argument to define $\prod_{\langle n, e \rangle} B_{\langle n, e \rangle}$, this time diagonalizing against an enumeration $(S^e)_{e \in \mathbb{N}}$ of $S^e = \prod_n S_n^e \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$. If S^e is not in $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{foc}}$, the co-enumeration will be stuck somewhere in the co-enumeration of some level, with no harm to the global diagonalization.

However, if some particular S^e is empty, we could end up with some $B_{\langle n, e \rangle} = \emptyset$, making B empty. Indeed, suppose we reach the second part of the co-enumeration. Then, the malicious S^e can make sure that every step of the second loop are achieved, by removing from S^e all strings σ such that $\Phi_e(\sigma; e) \downarrow \notin B_{\langle n, e \rangle}$, at every stage of the co-enumeration. As a result, both S^e and $B_{\langle n, e \rangle}$ will become empty.

QUESTION 3.28. Do we have $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{foc}} <_W \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$?

We now give a stronger result with a much simpler, but not effective, proof. As a corollary, we will obtain the upper density of $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$ and $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}$.

THEOREM 3.29. *For every $A \in \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}$, there exists $B \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$ such that $B \not\leq_M A$.*

PROOF. We first claim that there is no enumeration of all nonempty elements of $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$. More than that, we will prove that there is no $\prod_{n, e \in \mathbb{N}} S_n^e \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$ uniformly Σ_1^1 such that for every $B = \prod_n B_n \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$, there exists an e such that $\prod_n S_n^e \subseteq B$. Let $(S_n^e)_{n, e \in \mathbb{N}}$ be any uniformly Σ_1^1 enumeration. We construct $(B_e)_{e \in \mathbb{N}}$, a witness that this enumeration is not a counter-example to our claim. We define B_e by stage: At stage α , B_e is equal to the interval $] \min(S_e^e); \infty[$, where $\min(S_e^e)$ is computed up to stage α . This defines a Σ_1^1 set. We have $\prod_n B_n \not\subseteq \prod_n S_n^e$ for every $e \in \mathbb{N}$ and the claim is proven.

Now, suppose that there exists $A \in \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}$ such that for every $B \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$, we have $B \leq_M A$. Let us define S_n^e by

$$m \in S_n^e \Leftrightarrow \exists X \in A : \Phi_e(X; n) \downarrow = m \text{ or } \Phi_e \text{ is not total on } A.$$

Given any $B \in \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$, as $B \leq_M A$, fix a witness Φ_e . We have $\Phi_e(A) \subseteq B$, and as B is homogeneous we also have $\prod_n S_n^e \subseteq B$. Then, $(S_n^e)_{e, n \in \mathbb{N}}$ would be a contradiction to our first claim. \dashv

COROLLARY 3.30. *We have upward density for $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$ and $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}$.*

There is another non-effective proof showing upward density for $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}$ (but not for $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$). Indeed, remarkably, the result shows that there is no greatest nonempty Σ_1^1 closed set even with respect to hyperarithmetical Muchnik degrees. We say that $A \subseteq \omega^\omega$ is *hyperarithmetically Muchnik reducible* to $B \subseteq \omega^\omega$ (written

$A \leq_w^{\text{HYP}} B$) if for any $x \in A$ there is $y \in B$ such that $y \leq_h x$, that is, y is hyperarithmetically reducible to x .

FACT 3.31 (Gregoriades [10, Theorem 3.13]). *If P is a Δ_1^1 closed set with no Δ_1^1 element, then there exists a clopen set C such that $P \cap C \neq \emptyset$ and $P <_w^{\text{HYP}} P \cap C$.*

Note that any P satisfying the conclusion of the above fact cannot be homogeneous since if P is homogeneous, C is clopen, and $P \cap C$ is nonempty, then we always have $P \cap C \equiv_M P$. So, Fact 3.31 does not imply Theorem 3.29.

COROLLARY 3.32. *For any nonempty Σ_1^1 set $A \subseteq \omega^\omega$, there is a nonempty Π_1^0 set $B \subseteq \omega^\omega$ such that $A <_w^{\text{HYP}} B$.*

PROOF. For any nonempty Σ_1^1 set A , it is easy to see that there is a nonempty Π_1^0 set A^* such that $A \leq_M A^*$. If A^* has a Δ_1^1 element, then the assertion is clear. If A^* has no Δ_1^1 element, by Fact 3.31, there is clopen C such that $A \leq_M A^* <_w^{\text{HYP}} A^* \cap C$. \dashv

In [5], Cenzer and Hinman showed that the lattice of Π_1^0 classes in Cantor space is dense. Here we already showed upward density, we now prove downward density:

THEOREM 3.33. *$\Sigma_1^1\text{-DC}_{\mathbb{N}\mathbb{N}}$ is downward dense. In other words, for every $A \in \Sigma_1^1\text{-DC}_{\mathbb{N}\mathbb{N}}$ with no computable member, there exists $B >_M \omega^\omega$ in $\Sigma_1^1\text{-DC}_{\mathbb{N}\mathbb{N}}$ such that*

$$\omega^\omega <_M A \cup B <_M A.$$

PROOF. We first reduce the problem to finding a non-computable hyperarithmetical real X such that A contains no X -computable point. Indeed, for any computable ordinal α , by assuming that A has no $\emptyset^{(\alpha)}$ -computable point, we construct a hyperarithmetical real $X \not\leq_T \emptyset^{(\alpha)}$ such that A contains no $X^{(\alpha)}$ -computable element. If such an X exists, then we have $\omega^\omega <_M^\alpha A \cup \{X\} <_M^\alpha A$, where $\leq < M^\alpha$ indicates the Medvedev reducibility with the α -th Turing jump.

It suffices to show that $\Phi_e(X \oplus \emptyset^{(\alpha)}) \notin A$ for any e , and $\emptyset^{(\alpha)} <_T X \oplus \emptyset^{(\alpha)} \equiv_T X^{(\alpha)}$. The latter condition is ensured by letting X be α -generic. To describe a strategy for ensuring the first condition, fix a pruned Σ_1^1 tree T_A such that $[T_A] = A$. Let Φ_e^α be the $\emptyset^{(\alpha)}$ -computable function mapping Z to $\Phi_e(Z \oplus \emptyset^{(\alpha)})$. There are two ways for Φ_e^α to not be a witness that A has no X -computable element: either $\Phi_e^\alpha(\sigma) \notin T_A$ for some $\sigma \prec X$, or $X \notin \text{dom}(\Phi_e^\alpha)$. Let us argue that we have the following: For any $e \in \mathbb{N}$ and $\sigma \in \omega^{<\omega}$ there exists a finite string τ extending σ such that

$$(3) \quad \text{either } \Phi_e^\alpha(\tau) \notin T_A \text{ or } [\tau] \cap \text{dom}(\Phi_e^\alpha) = \emptyset$$

Indeed, if it were not the case for some $e \in \omega$, we would have a string σ such that for every τ , $\Phi_e^\alpha(\tau) \in T_A$ and there exists an extension $\rho \succ \tau$ such that $\Phi_e^\alpha(\rho)$ strictly extends $\Phi_e^\alpha(\tau)$, allowing us to compute a path of T_A , which is impossible as $A >_M \omega^\omega$.

Begin with the empty string $\sigma_0 = \emptyset$. For e let D_e be the e -th dense Σ_α^0 set of strings. Given σ_e , in a hyperarithmetical way, one can find a string $\sigma_e^* \in D_e$ extending σ_e . Now, by (3) we have a Π_1^1 function assigning e to the first σ_{e+1}

extending σ_e^* we find verifying (3). This function is total, and then Δ_1^1 . Moreover, it is clear that $\Phi_e(X)$ does not define an element of A for any X extending σ_{e+1} . \dashv

3.5. Axiom of choice versus dependent choice. H. Friedman showed that the axiom of Σ_1^1 -dependent choice is strictly stronger than the axiom of Σ_1^1 -choice in the context of second order arithmetic (cf. [16, Corollary VIII.5.14]). Although the Weihrauch degrees of the principles $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}$ and $\Sigma_1^1\text{-AC}_{\mathbb{N} \rightarrow \mathbb{N}^{\mathbb{N}}}$ are equal (Observation 2.1 and Proposition 2.2), we will see that $\Sigma_1^1\text{-DC}_{\mathbb{N}}$ is strictly stronger than $\Sigma_1^1\text{-AC}_{\mathbb{N} \rightarrow \mathbb{N}}$, which finally solves Question 1.2:

THEOREM 3.34. $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}} <_{\text{W}} \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}} \equiv_{\text{W}} \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}} \star \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}}$.

The above result also implies that

$$\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}} <_{\text{W}} \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}} \star \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}} \equiv_{\text{W}} \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}} \star \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}} \star \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}.$$

Therefore, Theorem 3.34 provides a new natural example of a multivalued function such that the hierarchy of the compositional product with itself stabilizes at the second level. Another such an example has also been given by [13].

We now divide Theorem 3.34 into two lemmas.

LEMMA 3.35. $\text{ATR}_2 \not\leq_{\text{W}} \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$.

PROOF. Let A_e be the e -th computable instance of ATR_2 , that is, $0 \smallfrown H \in A(e)$ if and only if H is a jump hierarchy for the e -th computable linear order \prec_e , and $1 \smallfrown p$ if p is an infinite decreasing sequence w.r.t. \prec_e . Suppose for the sake of contradiction that $A = \prod_e A_e$ is Medvedev reducible to a homogeneous Σ_1^1 set S . Let B be the set of all indices $e \in \mathbb{N}$ such that the set of all infinite decreasing sequences w.r.t. \prec_e is not Medvedev reducible to S , and let C be the set of all indices $e \in \mathbb{N}$ such that the set of all jump-hierarchies for \prec_e is not Medvedev reducible to S . Note that B and C are Σ_1^1 .

Moreover, we claim that B and C are disjoint. To see this, let Φ be a continuous function witnessing $A \leq_M S$. If there is $X \in S$ such that $\Phi(X; 0)$ is i , then by continuity of Φ , there is a finite initial segment σ of X such that $\Phi(Y; 0) = i$ for any Y extending σ . However, by homogeneity of S , $S \cap [\sigma]$ is Medvedev equivalent to S . This means that, for any e , S Medvedev bounds either the set of infinite paths or the set of jump-hierarchies for the e -th computable tree. This concludes the claim.

Let WO be the set of all indices of well-orderings, and NPWO be the set of all indices for computable linear orderings with infinite hyperarithmetic decreasing sequences (i.e., linear orderings which are not pseudo-well-ordered). Clearly, WO is contained in B . Moreover, by H. Friedman's theorem [6] saying that a computable linear order which supports a jump hierarchy cannot have a hyperarithmetical descending sequence (see also Friedman [7] for a simpler proof based on Steel's result [17]), NPWO is contained in C . Since B and C are disjoint Σ_1^1 sets, by an effective version of the Lusin separation theorem (cf. [15, Exercice 4B.11]), there is a Δ_1^1 set A separating B from C . This contradicts Harrington's unpublished result, which states that if a Σ_1^1 set separates WO from NPWO , then it must be Σ_1^1 -complete (see Goh [8, Corollary 3]). \dashv

LEMMA 3.36. $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}} \leq_W \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}} \star \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}}$.

PROOF. Given a pruned Σ_1^1 tree $T \subseteq \omega^{<\omega}$, let f_T be the leftmost path through T . Then f_T has a finite-change higher approximation, i.e., there is a Δ_1^1 sequence approximating f with finite mind-changes (cf. [1] for the definition). Let $m_T(n)$ be the number of changes of the approximation procedure for $f_T \upharpoonright n + 1$. One can assume that $f_T(n) \leq m_T(n)$. Then, one can effectively construct a Σ_1^1 sequence $(S_n)_{n \in \mathbb{N}}$ of cofinite subsets of \mathbb{N} such that $m \in S_n$ implies $m > m_T(n)$. In particular, any element $g \in \prod_n S_n$ majorizes m_T , and thus f_T . Use $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}}$ to choose such a g , and consider the $\Sigma_1^1(g)$ tree $T^g = \{\sigma \in T : (\forall n < |\sigma|) \sigma(n) < g(n)\}$. Then T^g is a finite branching infinite tree since $f_T \in [T^g]$. Therefore, as in the proof of Proposition 2.5, one can effectively covert T^g into a $\Sigma_1^1(g)$ infinite binary tree T^* . Use $\Sigma_1^1\text{-WKL}$ (which is Weihrauch equivalent to $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$, as seen in Observation 2.1 and Fact 2.4) to get an infinite path p through T^* . From p one can easily construct an infinite path through T . \dashv

PROOF OF THEOREM 3.34. Clearly, $\text{ATR}_2 \leq_W \Sigma_1^1\text{-C}_{\mathbb{N}^{\mathbb{N}}}$ since being a jump hierarchy and being an infinite decreasing sequence are arithmetical properties. Since $\Sigma_1^1\text{-C}_{\mathbb{N}^{\mathbb{N}}}$ is Weihrauch equivalent to $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}$ by Proposition 2.2, we obtain $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}} <_W \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}$ by Lemma 3.35. The equality follows from Lemma 3.36. \dashv

3.6. Summary of this section.

THEOREM 3.37. *We have*

$$\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}} \equiv_W \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}} <_W \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}} \equiv_W \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}} <_W \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fof}} \leq_W \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$$

and

$$\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}} \not\leq_W \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}} \quad \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fof}} >_W \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}} \not\leq_W \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{aof}}$$

It remains a few questions about $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fof}}$:

QUESTION 3.38. Is $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fof}} <_W \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fof}}$? Is $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fof}} <_W \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$?

We also do not know if the dependent and independent choice for cofinite sets coincide.

QUESTION 3.39. Is $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}} <_W \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}^{\text{cof}}$?

We solved the main question by showing $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}} <_W \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}$ (Theorem 3.34), but it is just a computable separation. Therefore, it is natural to ask if $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$ and $\Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}$ can be separated even in the hyperarithmetical sense. In other words, the following is one of the most important open questions, where $\text{UC}_{\mathbb{N}^{\mathbb{N}}}$ is the unique choice principle (or equivalently, the choice principle for Σ_1^1 singletons; cf. [12]).

QUESTION 3.40. Is $\text{UC}_{\mathbb{N}^{\mathbb{N}}} \star \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}} <_W \Sigma_1^1\text{-DC}_{\mathbb{N}^{\mathbb{N}}}$?

We also ask a question purely on the structure of Medvedev degrees for finite axioms of choice. Define more generally $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{P}}$ to be $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}$ where the set from which we choose have to be taken from P . For instance, if $\text{P} = \{A \subseteq \mathbb{N} : |A| < \omega\}$, then $\Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{P}} = \Sigma_1^1\text{-AC}_{\mathbb{N}^{\mathbb{N}}}^{\text{fin}}$.

QUESTION 3.41. Let $P = \{A \subseteq \mathbb{N} : A \subseteq 2\}$ and $Q = \{A \subseteq \mathbb{N} : |A| \leq 2\}$. Is every element of $\Sigma_1^1\text{-AC}_{\mathbb{N}\mathbb{N}}^Q$ Medvedev equivalent to some element of $\Sigma_1^1\text{-AC}_{\mathbb{N}\mathbb{N}}^P$?

We are also interested in comparing various kinds of arithmetical transfinite recursion.

QUESTION 3.42. $\text{ATR}_2 \equiv_W^a \text{ATR}_{2'} \equiv_W^a \text{ATR}_2^{\text{po}}$?

Finally, we mention a few descriptive set theoretic results deduced from our results.

- THEOREM 3.43. 1. *There is a total analytic set A with compact homogeneous sections such that any total analytic set with compact sections is \leq_1 -reducible to A .*
2. *For any total analytic set A with closed sections, there is a total analytic set with homogeneous sections which is not \leq_2 -reducible to A .*
3. *There is a total $F_{\sigma\delta}$ set with G_δ sections which is not \equiv_2 -equivalent to any analytic set with closed sections.*
4. *There is a total closed set which is not \leq_2 -reducible to any total analytic set with homogeneous sections.*

PROOF. (1) follows from the relativization of Theorem 3.5. (2) follows from the relativization of Theorem 3.29. For (3), let S be the set of pairs (x, y) with $y \not\leq_T x$. Then S is $F_{\sigma\delta}$, and each $S(x) = \{y : y \not\leq_T x\}$ is co-countable; hence G_δ . Suppose that S is \equiv_2 -equivalent to an analytic set A with closed sections. In particular, there are x -computable functions h_0, h_1 such that $S(x) \leq_M^x A(h_0(x)) \leq_M^x S(h_1 \circ h_0(x)) \leq_M^x S(x)$, where \leq_M^x indicates the Medvedev reducibility relative to x . Then we have $S(x) \equiv_M^x A(h_0(x))$. By relativizing Theorem 3.33, there exists a $\Sigma_1^1(x)$ closed set $\omega^\omega <_M^x B <_M^x S(x)$, which is impossible. Finally, (4) follows from the relativization of Lemma 3.35, and the fact that every Σ_1^1 set is Medvedev reducible to a closed set in a uniform manner. \dashv

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